Steinberg Richard A Form 4 May 25, 2011

Check this box

if no longer

Section 16.

subject to

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB Number:

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Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

Stock

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Steinberg Richard A

(First)

(Street)

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

(Check all applicable)

Issuer

COLUMBUS MCKINNON CORP

[CMCO]

Director 10% Owner

3. Date of Earliest Transaction (Month/Day/Year)

05/23/2011

\_X\_\_ Officer (give title \_ Other (specify below)

5. Relationship of Reporting Person(s) to

V.P. - Human Resources

140 JOHN JAMES AUDUBON **PARKWAY** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

AMHERST, NY 14228-1197

(City)	(State)	(Zip) Tal	ole I - Non-	-Derivativ	e Sec	urities .	Acquired, Disposed	l of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. SecurionAcquired Disposed (Instr. 3,	(A) of (E	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock							3,870	D	
Common Stock	05/23/2011		A	1,422 (1)	A	\$0	5,292 (2)	D	
Common Stock							565.4767 <u>(3)</u>	D	

Common 600,435.6156 I

> shares held by ESOP; reporting person is 1 of

Additional

1

2 trustees; DISCLAIMS beneficial ownership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Incentive Stock Options (Right to Buy)	\$ 21.61					10/16/2006	10/15/2016	Common Stock	45,0
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	1,3
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	3,8
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	2,7
Non-Qualified Stock Options (Right to Buy)	\$ 19.5	05/23/2011		A	2,685	(8)	05/22/2021	Common Stock	2,6

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			

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#### Edgar Filing: Steinberg Richard A - Form 4

Steinberg Richard A 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197 V.P. -Human Resources

## **Signatures**

Richard A. Steinberg 05/24/2011

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan (1) dated as of July 26, 2010, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per year for four years beginning 5/23/2012, if reporting person remains a director of issuer.
  - Includes 4,001 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 1,015 shares become fully vested and non-forfeitable 50% for two years beginning 5/18/2012; 460 shares become fully vested and non-forfeitable 50% per year for two
- (2) years beginning 5/19/2012, 1,104 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/17/2012, and the remaining 1,422 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) All exercisable, subject to IRS limitations.
- (5) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive (8) Plan dated as of July 26, 2010; options become exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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