Edgar Filing: Steinberg Richard A - Form 4

| Steinberg R Form 4 May 25, 20 | | | | | | | | | | | |
|-------------------------------------------------------------------------|--------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|--------------|-----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|---------------------------------------------------------------|-----------------------------------------------------------------------------------|-------------|
| FORM | 14 | | anau | DIFIE | | | | | | B APPRO | VAL |
| | SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | N OMB Numbe | er: 323 | 5-0287 | | |
| Check t if no lor subject Section Form 4 | to STATEN 16. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | Expires: January 31 200 Estimated average burden hours per esponse 0. | |
| Form 5 obligati may con <i>See</i> Inst 1(b). | ons Section 17(ruction | (a) of the l | Public U | | olding Co | mpa | ny Ac | inge Act of 1934 t of 1935 or Sect 1940 | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Steinberg Richard A | | | 2. Issuer Name and Ticker or Trading Symbol COLUMBUS MCKINNON CORP | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | [CMC | | | 011 | 0011 | (Cl | neck all appl | icable) | |
| | | | | . Date of Earliest Transaction Month/Day/Year) | | | | Director 10% Owner X Officer (give title Other (specify | | | |
| 140 JOHN PARKWA | JAMES AUDUB Y | ON | 05/23/2 | - | | | | below) V.P. | belov - Human Re | · | |
| | | | Amendment, Date Original (Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | |
| AMHERS | Г, NY 14228-119′ | 7 | | | | | | Person | y wore than c | ne Reporting | |
| (City) | (State) | (Zip) | Tal | ble I - Non | -Derivativ | e Seci | urities | Acquired, Disposed | l of, or Bene | eficially Own | ned |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution any | Date, if | 3. 4. Securities , if TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature Indirect Beneficial Ownershi (Instr. 4) | l | |
| | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | (Instr. 4) | | |
| Common Stock | | | | | | | | 3,870 | D | | |
| Common Stock | 05/23/2011 | | | А | 1,422 (1) | А | \$0 | 5,292 <u>(2)</u> | D | | |
| Common Stock | | | | | | | | 565.4767 <u>(3)</u> | D | | |
| Common Stock | | | | | | | | 600,435.6156 | Ι | Addition shares h ESOP; reporting person i | eld by g |

1

2 trustees; DISCLAIMS beneficial ownership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exerci Expiration Dat (Month/Day/Y | te | 7. Title and Amour Underlying Securit (Instr. 3 and 4) | |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------|--------------------------------------------------------------|---------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amo or Num of Sh |
| Incentive Stock Options (Right to Buy) | \$ 21.61 | | | | | 10/16/2006 | 10/15/2016 | Common Stock | 45,(|
| Incentive Stock Options (Right to Buy) | \$ 28.45 | | | | | 05/19/2009 | 05/18/2018 | Common Stock | 1,3 |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.27 | | | | | 05/18/2010 | 05/17/2019 | Common Stock | 3,8 |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.24 | | | | | 05/17/2011 | 05/16/2020 | Common Stock | 2,7 |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.5 | 05/23/2011 | | А | 2,685 | (8) | 05/22/2021 | Common Stock | 2,6 |

Reporting Owners

 Reporting Owner Name / Address
 Relationships

 Director
 10% Owner
 Officer
 Other

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Steinberg Richard A 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197 V.P. -Human Resources

Signatures

Richard A. Steinberg

05/24/2011

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan
 (1) dated as of July 26, 2010, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per year for four years beginning 5/23/2012, if reporting person remains a director of issuer.

Includes 4,001 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 1,015 shares become fully vested and non-forfeitable 50% for two years beginning 5/18/2012; 460 shares become fully vested and non-forfeitable 50% per year for two

- (2) years beginning 5/19/2012, 1,104 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/17/2012, and the remaining 1,422 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) All exercisable, subject to IRS limitations.
- (5) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.

Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive(8) Plan dated as of July 26, 2010; options become exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.