

SCHWARTZ ALICE N

Form 4

November 08, 2011

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
SCHWARTZ ALICE N

2. Issuer Name **and** Ticker or Trading
Symbol
BIO RAD LABORATORIES INC
[BIO, BIOB]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
11/04/2011

☐ Director ☒ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

C/O BIO-RAD LABORATORIES,
INC., 1000 ALFRED NOBEL
DRIVE

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

HERCULES, CA 94547

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Bio-Rad A Common Stock					1,998,089 ⁽¹⁾	D	
Bio-Rad A Common Stock					774,823 ⁽²⁾	I	By Spouse
Bio-Rad A Common Stock	10/05/2011		G V	141,046 D \$ 0 0 ⁽³⁾		I	By GRAT

Edgar Filing: SCHWARTZ ALICE N - Form 4

Bio-Rad A Common Stock	10/05/2011	G	V	141,046	D	\$ 0	0 ⁽³⁾	I	By GRAT (spouse)
Bio-Rad A Common Stock							34,311	I	By Trust
Bio-Rad B Common Stock							461,926	D	
Bio-Rad B Common Stock							4,060,054	I	By Blue Raven Partners, L.P. ⁽⁴⁾
Bio-Rad B Common Stock							41,176	I	By DANSA Partners Limited ⁽⁵⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0 ⁽⁶⁾	11/04/2011		A		1,500		⁽⁷⁾	⁽⁷⁾	Bio-Rad B Common Stock	1,500
Non-Qualified Stock Option (right to buy)	\$ 100.06	11/04/2011		A		5,000		⁽⁸⁾	11/04/2021	Bio-Rad B Common Stock	5,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SCHWARTZ ALICE N C/O BIO-RAD LABORATORIES, INC. 1000 ALFRED NOBEL DRIVE HERCULES, CA 94547	X	X		

Signatures

Alice N.
Schwartz 11/07/2011

**Signature of
Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On October 5, 2011, 339,377 Class A shares were transferred from the Alice N. Schwartz 2009 Grantor Retained Annuity Trust (GRAT) to Alice N.Schwartz, and such shares are directly beneficially owned by her.
- On October 5, 2011, 339,377 Class A shares were transferred from the David Schwartz 2009 Grantor Retained Annuity Trust (GRAT) to
- (2) David Schwartz, and such shares are directly beneficially owned by him. Reporting person is the spouse of David Schwartz, Chairman of the Board of Bio-Rad Laboratories and beneficial owner of more than 10% of the Company's stock.
- (3) In addition to the reported transaction, the reporting person and the reporting person's spouse each received 339,377 Class A shares as a distribution from their separate 2009 GRATs and such shares are directly beneficially owned by each of them.
- (4) The shares are held by a limited partnership of which the reporting person is a limited and general partner.
- (5) The shares are held by a limited partnership of which the reporting person is a general partner.
- (6) Each restricted stock unit represents a contingent right to receive one share of Bio-Rad Class B Common Stock.
- (7) The restricted stock units vest over five years at 20% per year on the yearly anniversary date of the grant.
- (8) The stock option vests over five years at 20% per year on the yearly anniversary date of the grant.
- (9) The reporting person is the spouse of David Schwartz, Chairman of the Board of Bio-Rad Laboratories and beneficial owner of more than 10% of the Company's Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.