SCHWARTZ ALICE N

Form 4

November 08, 2011

FORM	14								OMB AF	PPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB Number:	3235-0287		
Check the if no long	ter.					Expires:	January 31,					
subject to Section 1 Form 4 o	ES IN BENEFICIAL OWNERSHIP OF ECURITIES					Estimated average burden hours per response 0.5						
Form 5 obligation may cont <i>See</i> Instru	ns Section 17(a	) of the Pub		Hold	ling Comp	any A	Act of	e Act of 1934, 1935 or Section 0	·			
(Print or Type I	Responses)											
1. Name and Address of Reporting Person * 2. Issue SCHWARTZ ALICE N Symbol								5. Relationship of Reporting Person(s) to Issuer				
BIO RA [BIO, E				BO	RATORII	ES IN	IC	(Check all applicable)				
(Last)	(First) (M	onth/Day/Yea		ansaction			_X_ Director Officer (give		Owner or (specify			
	AD LABORATOI ALFRED NOBEL	RIES, 11	04/2011					below)	below)			
(Street) 4. If Amer Filed(Mon					te Original			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
HERCULES	S, CA 94547							Person	iore than One Re	porung		
(City)	(State)	Zip)	Table I - No	on-D	erivative Se	curiti	es Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Datany (Month/Day/Y	Code Year) (Instr.	Transaction(A) or Disposed of (D)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Bio-Rad A Common Stock			Code	V	Amount	(D)	Price	1,998,089 (1)	D			
Bio-Rad A Common Stock								774,823 (2)	I	By Spouse		
Bio-Rad A Common Stock	10/05/2011		G	V	141,046	D	\$0	0 (3)	I	By GRAT		

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Bio-Rad A Common Stock	10/05/2011	G	V	141,046	D	\$ 0	0 (3)	I	By GRAT (spouse)
Bio-Rad A Common Stock							34,311	I	By Trust
Bio-Rad B Common Stock							461,926	D	
Bio-Rad B Common Stock							4,060,054	I	By Blue Raven Partners, L.P. (4)
Bio-Rad B Common Stock							41,176	I	By DANSA Partners Limited (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

 $\label{thm:convertible} \textbf{Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned} \\ \textit{(e.g., puts, calls, warrants, options, convertible securities)}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exerc Expiration D (Month/Day/	Date	7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Restricted Stock Units	\$ 0 (6)	11/04/2011		A	1,500	<u>(7)</u>	<u>(7)</u>	Bio-Rad B Common Stock	1,50
Non-Qualified Stock Option (right to buy)	\$ 100.06	11/04/2011		A	5,000	(8)	11/04/2021	Bio-Rad B Common Stock	5,00

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

SCHWARTZ ALICE N

C/O BIO-RAD LABORATORIES, INC.
1000 ALFRED NOBEL DRIVE

HERCULES, CA 94547

## **Signatures**

Alice N.
Schwartz

\*\*Signature of Reporting Person

Alice N.

11/07/2011

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On October 5, 2011, 339,377 Class A shares were transferred from the Alice N. Schwartz 2009 Grantor Retained Annuity Trust (GRAT) to Alice N.Schwartz, and such shares are directly beneficially owned by her.
- On October 5, 2011, 339,377 Class A shares were transferred from the David Schwartz 2009 Grantor Retained Annuity Trust (GRAT) to (2) David Schwartz, and such shares are directly beneficially owned by him. Reporting person is the spouse of David Schwartz, Chairman of the Board of Bio-Rad Laboratories and beneficial owner of more than 10% of the Company's stock.
- (3) In addition to the reported transaction, the reporting person and the reporting person's spouse each received 339,377 Class A shares as a distribution from their separate 2009 GRATs and such shares are directly beneficially owned by each of them.
- (4) The shares are held by a limited partnership of which the reporting person is a limited and general partner.
- (5) The shares are held by a limited partnership of which the reporting person is a general partner.
- (6) Each restricted stock unit represents a contingent right to receive one share of Bio-Rad Class B Common Stock.
- (7) The restricted stock units vest over five years at 20% per year on the yearly anniversary date of the grant.
- (8) The stock option vests over five years at 20% per year on the yearly anniversary date of the grant.
- The reporting person is the spouse of David Schwartz, Chairman of the Board of Bio-Rad Laboratories and beneficial owner of more than 10% of the Company's Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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