Edgar Filing: Tylee Gregory - Form 4

Tylee Grego Form 4	ry								
January 29, 2	2018								
FORM									PPROVAL
	UNITED	STATES SE	CURITIES A Washington			NGE	COMMISSION	N OMB Number:	3235-0287
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations obligations Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 Section 17(a) of the Public Utility Holding Company Act of 1935 or Sec						nge Act of 1934,	Estimated burden hou response	Estimated average burden hours per response 0.5	
may cont See Instr 1(b).	tinue. Section 17(he Investment	•	· ·			on	
(Print or Type l	Responses)								
1. Name and A Tylee Grego	Address of Reporting Dry	Syn	Issuer Name an abol y Office REI			ng	5. Relationship o Issuer		
				e of Earliest Transaction (Che			eck all applicable)		
2010-1075 STREET	Ionth/Day/Year) 1/25/2018			Director 10% Owner X_ Officer (give title Other (specify below) below) President & COO					
			led(Month/Day/Year) Applicable Line) _X_ Form filed b			Joint/Group Filing(Check One Reporting Person			
VANCOUV	/ER, A1 V6E 3C	9					Person	More than One R	eporting
(City)	(State)	(Zip)	Table I - Non-l	Derivative	Secur	ities A	cquired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Ye	Code	4. Securiti nAcquired Disposed (Instr. 3, 4 Amount	(A) or of (D) and f (A) or)	Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Reminder: Rep	port on a separate line	e for each class o	f securities bene	ficially own	ned di	rectly o	or indirectly.		

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8.1
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	De
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Sec

Edgar Filing: Tylee Gregory - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired or Dispose (D) (Instr. 3, 4 and 5)	ed of				(
				Code V	(A)	(D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	01/25/2018		А	2,032	(2)	(2)	Common Stock	2,032 (<u>3)</u>	
Restricted Stock Units	<u>(1)</u>	01/25/2018		А	48,350	<u>(4)</u>	(4)	Common Stock	48,350 (<u>3)</u>	

er

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Othe		
Tylee Gregory 2010-1075 WEST GEORGIA STREET VANCOUVER, A1 V6E 3C9			President & COO			
A 1 1						

Signatures

/s/ Gregory Tylee	01/29/2018		
**Signature of	Date		

Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- In accordance with the Company's Equity Incentive Plan (the "Equity Incentive Plan"), Restricted Stock Units (as defined in the Equity (1)Incentive Plan) convert into common stock on a one-for-one basis.

The units represent Restricted Stock Units issuable to the Reporting Person as a dividend equivalency payment with respect to Restricted Stock Units previously issued to the Reporting Person which vest in three substantially equal installments on each of the first three annual

(2) anniversaries of the initial Grant Date, generally subject to the Participant's continued service through each applicable vesting date. The Restricted Stock Units reported herein shall vest on the same date and under the same terms as the underlying Restricted Stock Units with respect of which these dividend equivalency units vest.

(3) Vested shares will be delivered to the reporting person promptly upon vesting of the related restricted stock units.

In accordance with the Equity Incentive Plan, the Restricted Stock Units (and related dividend equivalent rights) shall vest in three

(4) substantially equal installments on each of the first three annual anniversaries of their initial grant date, generally subject to the grantee's continued employment through each applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. ">

(In

Edgar Filing: Tylee Gregory - Form 4

Item 2.02. Results of Operations and Financial Condition.

On May 9, 2016, Amphastar Pharmaceuticals, Inc. issued a press release announcing its financial results for the quarter ended March 31, 2016. A copy of the press release is furnished as Exhibit 99.1 to this Current Report on Form 8-K.

The information in this Current Report on Form 8-K, including Exhibit 99.1, shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as expressly set forth by specific reference in such a filing.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits:

Exhibit No. Description99.1 Press release, dated May 9, 2016, issued by Amphastar Pharmaceuticals, Inc.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: May 9, 2016 Amphastar Pharmaceuticals, Inc.

By: /s/ WILLIAM J. PETERS William J. Peters Chief Financial Officer and Senior Vice President

EXHIBIT INDEX

Exhibit No.Description99.1Press release, dated May 9, 2016, issued by Amphastar Pharmaceuticals, Inc.