

Edwards Jeffrey S  
Form 4  
July 06, 2009

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Edwards Jeffrey S

2. Issuer Name and Ticker or Trading Symbol  
JOHNSON CONTROLS INC [JCI]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
49200 HALYARD DRIVE, P.O.  
BOX 8010  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
07/02/2009

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Vice President

PLYMOUTH, MI 48170  
  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				Code V Amount (D) Price			
Common Stock	07/02/2009		A	4.784 A \$ 20.903	78,336.188 (1) (2)	D	
Common Stock					10,552.823 (3)	I	By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

Edgar Filing: Edwards Jeffrey S - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Phantom Stock Units - Retirement Restoration Plan	(4)					(5)	(5)	Common Stock	1,253.
Phantom Stock Units - Annual Incentive Plan	(4)					(7)	(7)	Common Stock	12,455
Phantom Stock Units - Restricted Stock Plan	(9)					(9)	(9)	Common Stock	1,797.
Employee Stock Option (Right to Buy)	\$ 17.5167					11/19/2005 <sup>(11)</sup>	11/19/2013	Common Stock	60,000
Employee Stock Option (Right to Buy)	\$ 20.5633					11/17/2006 <sup>(11)</sup>	11/17/2014	Common Stock	90,000
Employee Stock Option (Right to Buy)	\$ 22.5617					11/16/2007 <sup>(11)</sup>	11/16/2015	Common Stock	150,000
Employee Stock	\$ 23.965					10/02/2008 <sup>(11)</sup>	10/02/2016	Common Stock	60,000

Option  
(Right to  
Buy)

Employee  
Stock

Option \$ 40.21  
(Right to  
Buy)

10/01/2009<sup>(11)</sup> 10/01/2017

Common  
Stock

36,0

Employee  
Stock

Option \$ 28.79  
(Right to  
Buy)

10/01/2010<sup>(11)</sup> 10/01/2018

Common  
Stock

45,0

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Edwards Jeffrey S 49200 HALYARD DRIVE P.O. BOX 8010 PLYMOUTH, MI 48170			Vice President	

## Signatures

Angela M. Blair, Attorney-in-Fact for Jeffrey S.  
Edwards

07/06/2009

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares for restricted stock grants: 4,500 which vest on 11/1/2009; 22,500 which vest on 1/3/2010; and 4,500 which vest on 11/1/2011.
- (2) Includes 12.646 shares acquired through the reinvestment of dividends on July 2, 2009 at a price per share of \$20.903.
- (3) The number of underlying securities is based on the stock fund balance on July 2, 2009. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a July 2, 2009, stock fund price of \$20.69 per share.
- (4) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
- (5) The phantom stock units were accrued under the Johnson Controls Retirement Restoration Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service with the company.
- (6) Includes 7.827 phantom stock units acquired through the reinvestment of dividends on July 2, 2009, at a price of \$20.69 per phantom stock unit.
- (7) The phantom stock units were accrued under the Johnson Controls Annual Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement or other termination of service with the company.

## Edgar Filing: Edwards Jeffrey S - Form 4

- (8) Includes 77.774 phantom stock units acquired through the reinvestment of dividends on July 2, 2009, at a price of \$20.69 per phantom stock unit.

- (9) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.

- (10) Includes 207.912 phantom stock units acquired through the reinvestment of dividends on July 2, 2009, at a price of \$20.69 per phantom stock unit.

- (11) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.