#### Edgar Filing: PEAPACK GLADSTONE FINANCIAL CORP - Form 4

#### PEAPACK GLADSTONE FINANCIAL CORP

Form 4

March 13, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

0.5

January 31, Expires:

**OMB APPROVAL** 

2005 Estimated average

response...

burden hours per

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

KISSEL JOHN D

2. Issuer Name and Ticker or Trading

Symbol

PEAPACK GLADSTONE

FINANCIAL CORP [PGC]

(Check all applicable)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 03/11/2014

\_X\_\_ Director 10% Owner Other (specify Officer (give title

5. Relationship of Reporting Person(s) to

500 HILLS DRIVE, SUITE 300, PO

(Street)

**BOX 700** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

BEDMINSTER, NJ 07921

(City)	(State) (2	Table Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed	of, or Beneficia	lly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties		5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio		` ′		Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed of (D)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)
				(A) or		Reported Transaction(s) (Instr. 3 and 4)	(IIIsu. +)	(IIIsti. +)	
			Code V	Amount	(D)	Price	(msu. 5 and 4)		
Common Stock	03/11/2014		A	440	A	\$0	39,918	D	
Common Stock							1,789	I	Spouse
Common Stock							2,287	I	Child

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form

(9-02)

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# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 II S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock option (right to buy)	\$ 26.76					<u>(1)</u>	01/03/2017	Common Stock	2,310	
Stock Option (right to buy)	\$ 23.4					(2)	01/02/2018	Common Stock	2,310	
Stock Option (right to buy)	\$ 13.43					<u>(3)</u>	01/04/2020	Common Stock	5,000	
Stock Option (right to buy)	\$ 13.53					<u>(4)</u>	01/03/2021	Common Stock	2,500	
Stock Option (right to buy)	\$ 10.72					<u>(5)</u>	01/03/2022	Common Stock	2,500	
Stock Option (right to buy)	\$ 14.68					<u>(6)</u>	01/02/2023	Common Stock	2,500	

8. Price Derivat Securit (Instr. 5

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

KISSEL JOHN D 500 HILLS DRIVE, SUITE 300 PO BOX 700 BEDMINSTER, NJ 07921

X

## **Signatures**

John D. Kissel 03/13/2014

\*\*Signature of Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options are exercisable in five equal annual installments on January 3, 2008, 2009, 2010, 2011 and 2012.
- (2) The options are exercisable in five equal annual installments on January 2, 2009, 2010, 2011, 2012 and 2013.
- (3) The options are exercisable in four equal annual installments on January 4, 2011, 2012, 2013 and 2014.
- (4) The options are exercisable in four equal annual installments on January 3, 2012, 2013, 2014 and 2015.
- (5) The options are exercisable in four equal annual installments on January 3, 2013, 2014, 2015 and 2016.
- (6) The options are exercisable in three equal annual installments on January 2, 2014, 2015 and 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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