Edgar Filing: CALAVO GROWERS INC - Form 4

CALAVO GI Form 4 June 15, 2016	ROWERS INC									
FORM	4 UNITED	STATES		ITIES Al hington,			NGE (COMMISSION		9PROVAL 3235-0287
Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	er STATEN 5. 5. Filed pur ¹⁸ Section 17(5	IENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES suant to Section 16(a) of the Securities Exchange Act of 1934, a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						January 31, 2005 Estimated average burden hours per response 0.5		
(Print or Type R	esponses)									
1. Name and Ad HELIN JAM	ddress of Reporting IES D		Symbol	Name and O GROW			ıg	5. Relationship of Issuer (Chec	Reporting Pers	
(Last) 1141A CUM	· · · · · ·		3. Date of (Month/Date) 05/26/20	-	insaction			X_ Director Officer (give below)		Owner er (specify
			endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
SANTA PAU	ULA, CA 93036							Form filed by M Person	Iore than One Re	porting
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ities Acc	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Data (Month/Day/Year)	Executior any		3. Transactio Code (Instr. 8) Code V	on(A) or Di (D) (Instr. 3,	4 and (A) or	d of	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock	05/26/2016			М	1,000	А	\$ 21.8	14,250	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration I (Month/Day	Date	7. Title and <i>J</i> Underlying S (Instr. 3 and	Securities	8. Price Deriva Securit (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 21.8	05/26/2016		М	1,000	(1)	<u>(1)</u>	Common Stock	1,000	\$ (

Reporting Owners

Reporting Owner Name / Addre	SS	Relationships							
	Director	10% Owner	Officer	Other					
HELIN JAMES D 1141A CUMMINGS RD SANTA PAULA, CA 93036	Х								
Signatures									
/s/ James D. Helin	06/14/2016								
**Signature of Reporting Person	Date								

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Option vests in equal increments on each anniversary date of the grant over a five-year period and each increment is exercisable for five years from its vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.