## Edgar Filing: Jefferies Financial Group Inc. - Form 4

| Jefferies Fin<br>Form 4<br>July 17, 201   | nancial Group Inc                       | 2.            |          |   |  |                    |   |  |  |   |  |  |
|---|---|---------------|----------|---|--|--------------------|---|--|--|---|--|--|
| FORM  | $\mathbf{\Lambda} 4_{\text{UNITED}}$    | STATES        | SECU     | DITIFS /  | ND FY  | СН                 | NCF                                       | COMMISSIO  | NT.  | PPROVAL   |  |  |
| UNITED STATES SECURI  |   |               |          |   | RITIES AND EXCHANGE COMN<br>shington, D.C. 20549 |                    |   |  | Number:  | 3235-0287   |  |  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or  |   |               |          | NGES IN<br>SECUI  |  | <b>ICI</b>         | AL OV                                     | WNERSHIP OF  | Expires:<br>Estimated<br>burden hor<br>response.                             | urs per   |  |  |
| Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |   |               |          |   |  |                    |   |  |  |   |  |  |
| (Print or Type Responses)   |   |               |          |   |  |                    |   |  |  |   |  |  |
| Jefferies Financial Group Inc. Symbol   |   |               |          | trum Brands Holdings, Inc.  |  |                    |   | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)                                      |  |   |  |  |
| (Mo   |   |               | (Month/  | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>07/13/2018 |  |                    |   | Director 10% Owner<br>Officer (give title Other (specify<br>below) below)  |  |   |  |  |
| (Street) 4. If Am   |   |               |          | nendment, Date Original   |  |                    | 6. Individual or Joint/Group Filing(Check |  |  |   |  |  |
| Filed(Mo<br>NEW YORK, NY 10022  |   |               |          | _X_F<br>F   |  |                    |   |  | e Line)<br>filed by One Reporting Person<br>filed by More than One Reporting |   |  |  |
| (City)  | (State)                                 | (Zip)         | Tab      | ole I - Non-l   | Derivative                                       | Secu               | rities A                                  | cquired, Disposed  | of, or Beneficia   | lly Owned   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year) | Execution any | Date, if | 3.<br>Transactio<br>Code<br>(Instr. 8)<br>Code V                  | Disposed   | l (A) o<br>l of (D | )   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4)         | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Comm<br>Stock   | 07/13/2018                              |               |          | J <u>(1)</u>  | 7,965  | A                  | \$ 0<br>(1)                               | 7,527,629  | D  |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>or/Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | Amou<br>Under<br>Secur | rlying                                 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|--|--|--|--------------------|------------------------|--|---|---|
|   |   | Code V                                 | (A) (D)  | Date<br>Exercisable  | Expiration<br>Date | Title                  | Amount<br>or<br>Number<br>of<br>Shares |   |   |

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## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |          |       |  |  |  |
|--|---------------|-----------|----------|-------|--|--|--|
|  | Director      | 10% Owner | Officer  | Other |  |  |  |
| Jefferies Financial Group Inc.<br>520 MADISON AVENUE<br>NEW YORK, NY 10022 |               |           |          |       |  |  |  |
| Signatures   |               |           |          |       |  |  |  |
| Roland T. Kelly, Associate Ger<br>Counsel                                  | neral         | 07        | /17/2018 |       |  |  |  |

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Acquisition of shares of common stock of the Issuer acquired as merger consideration in exchange for an equal number of shares of
 (1) common stock of Spectrum Brands Legacy, Inc. (formerly, Spectrum Brands Holdings, Inc.) in connection with the merger pursuant to which Spectrum Brands Legacy, Inc. became a direct subsidiary of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.