#### **COLUMBUS MCKINNON CORP**

Form 4 May 20, 2011

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

Number: 3235-0287

Expires: January 31, 2005 Estimated average

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 4 or
Form 5
obligations
may continue.
See Instruction

Find pursuant to Section 16(a) of the Securities Exchange Act of 1934,
of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

	I Address of Reporting  O KAREN L	g Person *	2. Issuer Name Symbol	e and Ticker or Trading	5. Relations Issuer	5. Relationship of Reporting Person(s) to Issuer			
			COLUMBUS [CMCO]	(Check all applicable)					
(Last)	(First)	(Middle)	3. Date of Earlie (Month/Day/Yea			10% Owner Other (specify			
140 JOHN JAMES AUDUBON			05/19/2011	below)	VD Eine	below) nce & CFO			
PARKWA	ΛY					VF-FIIIa	iice & CFO		
(Street)			4. If Amendmen	t, Date Original	6. Individua	6. Individual or Joint/Group Filing(Check Applicable Line)			
			Filed(Month/Day	/Year)	Applicable Li				
AMHERS	ST, NY 14228				Form file	•	Reporting Person than One Reporting		
					Person				
(City)	(State)	(Zip)	Table I - N	on-Derivative Securities A	cquired, Dispo	sed of, or	Beneficially Owner		
1.Title of	2. Transaction Date	2A. Deemed	d 3.	4. Securities Acquired	5. Amount of	6.	7. Nature		

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	ve Sec	urities A	equired, Disposed	of, or Benefic	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock			Code V	Amount	or (D)	Price	(Instr. 3 and 4) 57,155 (1)	D	
Common Stock	05/19/2011		F	216 (2)	D	\$ 19.77	56,939 (1)	D	
Common Stock							2,775.1667 (3)	D	
Common Stock							598,225.9256	I	Additional shares held by ESOP; reporting

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person is 1 of 2 trustees; DISCLAIMS beneficial ownership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. biNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 10					08/20/2002	08/19/2011	Common Stock	6,500
Non-Qualified Stock Options (Right to Buy)	\$ 10					08/20/2002	08/19/2011	Common Stock	8,500
Incentive Stock Options (Right to Buy)	\$ 5.46					05/17/2005	05/16/2014	Common Stock	20,000
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	3,380
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	11,063
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	8,129

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HOWARD KAREN L 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228

VP-Finance & CFO

## **Signatures**

Karen L. Howard 05/20/2011

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes 7,351 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 2,953 shares become fully vested and non-forfeitable 50%
- (1) for two years beginning 5/18/2012; 1,189 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/19/2012, and the remaining 3,209 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/17/2012, if reporting person remains an employee of issuer.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting of 595 restricted stock units on 5/19/2011.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- Originally a 20,000 incentive stock option grant. Reporting person exercised 11,915 options on 4/1/2011 and 1,585 options on 4/4/2011. The remaining 6,500 options are fully exercisable, subject to IRS limitations.
- Originally a 25,000 non-qualified stock option grant. Reporting person exercised 14,563 options on 4/1/2011 and 1,937 options on 4/4/2011. The remaining 8,500 options are fully exercisable, subject to IRS limitations.
- (6) All exercisable, subject to IRS limitations.
- (7) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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