

Amber Road, Inc.  
Form SC 13G/A  
February 14, 2018

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**SCHEDULE 13G**

**Under the Securities Exchange Act of 1934**

**(Amendment No. 2)\***

**AMBER ROAD, INC.**

(Name of Issuer)

**Common Stock, \$0.001 par value per share**

(Title of Class of Securities)

**02318Y108**

(CUSIP Number)

**December 31, 2017**

(Date of Event Which Requires Filing of this Statement)

Edgar Filing: Amber Road, Inc. - Form SC 13G/A

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- a. Rule 13d-1(b)
- b. Rule 13d-1(c)
- c. Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 02318Y108

Names of Reporting Persons.

I.R.S. Identification Nos. of above persons (entities only)

1.

Cross Atlantic Capital Partners II, Inc.

Check the Appropriate Box if a Member of a Group (See Instructions)

2.

(a)

(b)

SEC Use Only

3.

Citizenship or Place of Organization

4.

Delaware

Sole Voting Power

5.

0

Number of

Shared Voting Power

Shares Beneficially

6.

Owned by Each

0

Sole Dispositive Power

Reporting

7.

Person With:

0

Shared Dispositive Power

8.

0

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Aggregate Amount Beneficially Owned by Each Reporting  
Person

9.

0

Check if the Aggregate Amount in Row (9) Excludes Certain  
Shares (See Instructions)

10.

Percent of Class Represented by Amount in Row (9)

11.

0%

Type of Reporting Person (See Instructions)

12.

CO

- 2 -

CUSIP No. 02318Y108

Names of Reporting  
Persons.

1. I.R.S. Identification Nos. of  
above persons (entities  
only)

XATF Management II, L.P.  
Check the Appropriate Box  
if a Member of a Group  
(See Instructions)

2.

(a)

(b)  
SEC Use Only

3.

Citizenship or Place of  
Organization

4.

Delaware  
Sole Voting Power

5.

Number of  
Shares Beneficially 0  
Shared Voting Power

6.

Owned by Each 0  
Sole Dispositive Power

Reporting 7.

Person With: 0

Shared Dispositive Power

8.

0

Aggregate Amount  
Beneficially Owned by  
Each Reporting Person

9.

0

Check if the Aggregate  
Amount in Row (9)  
Excludes Certain Shares  
(See Instructions)

10.

Percent of Class  
Represented by Amount in  
Row (9)

11.

0%

Type of Reporting Person  
(See Instructions)

12.

PN

CUSIP No. 02318Y108

Names of Reporting Persons.

I.R.S. Identification Nos. of above persons (entities only)

1.

Cross Atlantic Technology Fund II, L.P.

Check the Appropriate Box if a Member of a Group (See Instructions)

2.

(a)

(b)

SEC Use Only

3.

Citizenship or Place of Organization

4.

Delaware

Sole Voting Power

5.

0

Number of

Shared Voting Power

Shares Beneficially

6.

Owned by Each

0

Sole Dispositive Power

Reporting

7.

Person With:

0

Shared Dispositive Power

8.

0

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Aggregate Amount Beneficially Owned by Each Reporting  
Person

9.

0

Check if the Aggregate Amount in Row (9) Excludes Certain  
Shares (See Instructions)

10.

Percent of Class Represented by Amount in Row (9)

11.

0%

Type of Reporting Person (See Instructions)

12.

PN



CUSIP No. 02318Y108

Names of Reporting Persons.

I.R.S. Identification Nos. of above persons (entities only)

1.

Co-Invest Capital Partners, Inc.

Check the Appropriate Box if a Member of a Group (See Instructions)

2.

(a)

(b)

SEC Use Only

3.

Citizenship or Place of Organization

4.

Delaware

Sole Voting Power

5.

0

Number of

Shared Voting Power

Shares Beneficially 6.

Owned by Each

0

Sole Dispositive Power

Reporting

7.

Person With:

0

Shared Dispositive Power

8.

0

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Aggregate Amount Beneficially Owned by Each Reporting  
Person

9.

0

Check if the Aggregate Amount in Row (9) Excludes Certain  
Shares (See Instructions)

10.

Percent of Class Represented by Amount in Row (9)

11.

0%

Type of Reporting Person (See Instructions)

12.

CO

CUSIP No. 02318Y108

Names of Reporting Persons.

I.R.S. Identification Nos. of above persons (entities only)

1.

Co-Invest Management, L.P.

Check the Appropriate Box if a Member of a Group (See Instructions)

2.

(a)

(b)

SEC Use Only

3.

Citizenship or Place of Organization

4.

Delaware

Sole Voting Power

5.

0

Shared Voting Power

Number of

Shares Beneficially

6.

Owned by Each

0

Sole Dispositive Power

Reporting

7.

Person With:

0

Shared Dispositive Power

8.

0

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Aggregate Amount Beneficially Owned by Each Reporting  
Person

9.

0

Check if the Aggregate Amount in Row (9) Excludes Certain  
Shares (See Instructions)

10.

Percent of Class Represented by Amount in Row (9)

11.

0%

Type of Reporting Person (See Instructions)

12.

PN

- 6 -

CUSIP No. 02318Y108

Names of Reporting Persons.

I.R.S. Identification Nos. of above persons (entities only)

1.

The Co-Investment 2000 Fund, L.P.

Check the Appropriate Box if a Member of a Group (See Instructions)

2.

(a)

(b)

SEC Use Only

3.

Citizenship or Place of Organization

4.

Delaware

Sole Voting Power

5.

0

Number of

Shared Voting Power

Shares Beneficially 6.

Owned by Each

0

Sole Dispositive Power

Reporting

7.

Person With:

0

Shared Dispositive Power

8.

0

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Aggregate Amount Beneficially Owned by Each Reporting Person

9.

0

Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)

10.

Percent of Class Represented by Amount in Row (9)

11.

0%

Type of Reporting Person (See Instructions)

12.

PN

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CUSIP No. 02318Y108

Names of Reporting Persons.

I.R.S. Identification Nos. of above persons (entities only)

1.

Co-Invest Capital Partners II, Inc.

Check the Appropriate Box if a Member of a Group (See Instructions)

2.

(a)

(b)

SEC Use Only

3.

Citizenship or Place of Organization

4.

Delaware

Sole Voting Power

5.

0

Number of

Shared Voting Power

Shares Beneficially 6.

Owned by Each

0

Sole Dispositive Power

Reporting

7.

Person With:

0

Shared Dispositive Power

8.

0

Edgar Filing: Amber Road, Inc. - Form SC 13G/A

Aggregate Amount Beneficially Owned by Each Reporting Person

9.

0

Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)

10.

Percent of Class Represented by Amount in Row (9)

11.

0%

Type of Reporting Person (See Instructions)

12.

CO

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CUSIP No. 02318Y108

Names of Reporting Persons.

I.R.S. Identification Nos. of above persons (entities only)

1.

Co-Invest Management II, L.P.

Check the Appropriate Box if a Member of a Group (See Instructions)

2.

(a)

(b)

SEC Use Only

3.

Citizenship or Place of Organization

4.

Delaware

Sole Voting Power

5.

0

Number of

Shared Voting Power

Shares Beneficially 6.

Owned by Each

0

Sole Dispositive Power

Reporting

7.

Person With:

0

Shared Dispositive Power

8.

0

Aggregate Amount Beneficially Owned by Each Reporting  
Person

9.

0

Check if the Aggregate Amount in Row (9) Excludes Certain  
Shares (See Instructions)

10.

Percent of Class Represented by Amount in Row (9)

11.

0%

Type of Reporting Person (See Instructions)

12.

PN

CUSIP No. 02318Y108

Names of Reporting Persons.

I.R.S. Identification Nos. of above persons (entities only)

1.

The Co-Investment Fund II, L.P.

Check the Appropriate Box if a Member of a Group (See Instructions)

2.

(a)

(b)

SEC Use Only

3.

Citizenship or Place of Organization

4.

Delaware

Sole Voting Power

5.

0

Number of

Shared Voting Power

Shares Beneficially

6.

Owned by Each

0

Sole Dispositive Power

Reporting

7.

Person With:

0

Shared Dispositive Power

8.

0

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Aggregate Amount Beneficially Owned by Each Reporting  
Person

9.

0

Check if the Aggregate Amount in Row (9) Excludes Certain  
Shares (See Instructions)

10.

Percent of Class Represented by Amount in Row (9)

11.

0%

Type of Reporting Person (See Instructions)

12.

PN

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CUSIP No. 02318Y108

Names of Reporting Persons.

I.R.S. Identification Nos. of above persons (entities only)

1.

Donald R. Caldwell

Check the Appropriate Box if a Member of a Group (See Instructions)

2.

(a)

(b)

SEC Use Only

3.

Citizenship or Place of Organization

4.

United States

Sole Voting Power

5.

0

Number of

Shared Voting Power

Shares Beneficially

6.

Owned by Each

0

Sole Dispositive Power

Reporting

7.

Person With:

0

Shared Dispositive Power

8.

0

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Aggregate Amount Beneficially Owned by Each Reporting  
Person

9.

0

Check if the Aggregate Amount in Row (9) Excludes Certain  
Shares (See Instructions)

10.

Percent of Class Represented by Amount in Row (9)

11.

0%

Type of Reporting Person (See Instructions)

12.

IN

- 11 -

**Item 1.**

(a) Name of Issuer

**Amber Road, Inc. (the “Issuer”)**

(b) Address of Issuer’s Principal Executive Offices

**One Meadowlands Plaza, East Rutherford, New Jersey 07073**

**Item 2.**

(a) Name of Person Filing

(b) Address of Principal Business Office or, if none, Residence

(c) Citizenship

**The names, principal business offices and citizenship of the persons filing this statement are:**

**Cross Atlantic Capital Partners II, Inc. (“CAP”)**

**150 Radnor Chester Road #A225**

**Radnor, Pennsylvania 19087**

**Citizenship: Delaware**

**XATF Management II, L.P. (“XATF”)**

**c/o Cross Atlantic Capital Partners, Inc.**

**150 Radnor Chester Road #A225**

**Radnor, Pennsylvania 19087**

**Citizenship: Delaware**

**Cross Atlantic Technology Fund II, L.P. (“Fund II”)**

**c/o Cross Atlantic Capital Partners, Inc.**

**150 Radnor Chester Road #A225**

**Radnor, Pennsylvania 19087**

**Citizenship: Delaware**

**Co-Invest Capital Partners, Inc. (“Co-Invest Capital”)**

**c/o Cross Atlantic Capital Partners, Inc.**

**150 Radnor Chester Road #A225**

**Radnor, Pennsylvania 19087**

**Citizenship: Delaware**

**Co-Invest Management, L.P. (“Co-Invest Management”)**

**c/o Cross Atlantic Capital Partners, Inc.**

**150 Radnor Chester Road #A225**

**Radnor, Pennsylvania 19087**

**Citizenship: Delaware**



**The Co-Investment 2000 Fund, L.P. (“2000 Fund”)**

**c/o Cross Atlantic Capital Partners, Inc.**

**150 Radnor Chester Road #A225**

**Radnor, Pennsylvania 19087**

**Citizenship: Delaware**

**Co-Invest Capital Partners II, Inc. (“Co-Invest Capital II”)**

**c/o Cross Atlantic Capital Partners, Inc.**

**150 Radnor Chester Road #A225**

**Radnor, Pennsylvania 19087**

**Citizenship: Delaware**

**Co-Invest Management II, L.P. (“Co-Invest Management II”)**

**c/o Cross Atlantic Capital Partners, Inc.**

**150 Radnor Chester Road #A225**

**Radnor, Pennsylvania 19087**

**Citizenship: Delaware**

**The Co-Investment Fund II, L.P. (“Co-Investment Fund”)**

**c/o Cross Atlantic Capital Partners, Inc.**

**150 Radnor Chester Road #A225**

**Radnor, Pennsylvania 19087**

**Citizenship: Delaware**

**Donald R. Caldwell (“Mr. Caldwell”)**

**c/o Cross Atlantic Capital Partners, Inc.**

**150 Radnor Chester Road #A225**

**Radnor, Pennsylvania 19087**

**Citizenship: United States**

(d) Title of Class of Securities

**Common Stock, \$0.001 par value per share, of the Issuer (the “Common Stock”)**

(e) CUSIP Number

**02318Y108**

**Item 3. If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:**

**Not applicable.**

**Item 4. Ownership.**

(a) Amount beneficially owned: 0

(b) Percent of class: 0

(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote:

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**See Cover Pages Items 5-9.**

(ii) Shared power to vote or to direct the vote:

**See Cover Pages Items 5-9.**

(iii) Sole power to dispose or to direct the disposition of:

**See Cover Pages Items 5-9.**

(iv) Shared power to dispose or to direct the disposition of:

**See Cover Pages Items 5-9.**

**Item 5. Ownership of Five Percent or Less of a Class**

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities check the following .

**Item 6. Ownership of More than Five Percent on Behalf of Another Person**

Not applicable.

**Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company**

Not applicable.

**Item 8. Identification and Classification of Members of the Group**

Not applicable.

**Item 9. Notice of Dissolution of Group**

Not applicable.

**Item 10. Certification**

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect, other than activities solely in connection with a nomination under § 240.14a-11.

Exhibit A: Joint Filing Agreement, dated as of February 17, 2015 by and among CAP, XATF, FUND II, Co-Invest Capital, Co-Invest Management, 2000 Fund, Co-Invest Capital II, Co-Invest Management II, Co-Investment Fund and Mr. Caldwell.

**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 14, 2018

**CROSS ATLANTIC TECHNOLOGY  
FUND II, L.P.**

By: XATF Management II, L.P.  
Title: General Partner

By: Cross Atlantic Capital Partners II, Inc.  
Title: General Partner

By: /s/ Donald R. Caldwell  
Name: Donald R. Caldwell  
Title: Chairman and CEO

**XATF Management II, L.P.**

By: Cross Atlantic Capital Partners II, Inc.  
Title: General Partner

By: /s/ Donald R. Caldwell  
Name: Donald R. Caldwell  
Title: Chairman and CEO

**CROSS ATLANTIC CAPITAL  
PARTNERS II, INC.**

By: /s/ Donald R. Caldwell  
Name: Donald R. Caldwell  
Title: Chairman and CEO

**THE CO-INVESTMENT 2000 FUND, L.P.**

By: Co-Invest Management, L.P.  
Title: General Partner

By: Co-Invest Capital Partners, Inc.  
Title: General Partner

By: /s/ Donald R. Caldwell  
Name: Donald R. Caldwell  
Title: Chairman and CEO

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**CO-INVEST MANAGEMENT, L.P.**

By: Co-Invest Capital Partners, Inc.  
Title: General Partner

By: /s/ Brian Adamsky  
Name: Brian Adamsky  
Title: Chief Financial Officer and Treasurer

**CO-INVEST CAPITAL PARTNERS,  
INC.**

/s/ Brian Adamsky  
Name: Brian Adamsky  
Title: Chief Financial Officer and Treasurer

**THE CO-INVESTMENT FUND II, L.P.**

By: Co-Invest Management II, L.P.  
Title: General Partner

By: Co-Invest Capital Partners II, Inc.  
Title: General Partner

By: /s/ Donald R. Caldwell  
Name: Donald R. Caldwell  
Title: Chairman and CEO

**CO-INVEST MANAGEMENT II, L.P.**

By: Co-Invest Capital Partners II, Inc.  
Title: General Partner

By: /s/ Donald R. Caldwell  
Name: Donald R. Caldwell  
Title: Chairman and CEO

**CO-INVEST CAPITAL PARTNERS II,  
INC.**

By: /s/ Donald R. Caldwell  
Name: Donald R. Caldwell  
Title: Chairman and CEO

/s/ Donald R. Caldwell  
Donald R. Caldwell



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Exhibit A

## **JOINT FILING AGREEMENT**

The undersigned acknowledge and agree that the foregoing statement on Schedule 13G with respect to Common Stock of Amber Road, Inc. is filed on behalf of each of the undersigned and that all subsequent amendments to this statement (including amendments on Schedule 13D) may be filed on behalf of each of the undersigned in accordance with the provisions of Rule 13d-1(k) under the Securities Exchange Act of 1934, as amended, without the necessity of filing additional joint filing agreements.

Date: February 17, 2015

### **CROSS ATLANTIC TECHNOLOGY FUND II, L.P.**

By: XATF Management II, L.P.  
Title: General Partner

By: Cross Atlantic Capital Partners II, Inc.  
Title: General Partner

By: /s/ Brian Adamsky  
Name: Brian Adamsky  
Title: Chief Financial Officer and Treasurer

### **XATF Management II, L.P.**

By: Cross Atlantic Capital Partners II, Inc.  
Title: General Partner

By: /s/ Brian Adamsky  
Name: Brian Adamsky  
Title: Chief Financial Officer and Treasurer

### **CROSS ATLANTIC CAPITAL PARTNERS II, INC.**

/s/ Brian Adamsky  
Name: Brian Adamsky

Title: Chief Financial Officer and Treasurer

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**THE CO-INVESTMENT 2000 FUND,  
L.P.**

By: Co-Invest Management, L.P.  
Title: General Partner

By: Co-Invest Capital Partners, Inc.  
Title: General Partner

By: /s/ Brian Adamsky  
Name: Brian Adamsky  
Title: Chief Financial Officer and Treasurer

**CO-INVEST MANAGEMENT, L.P.**

By: Co-Invest Capital Partners, Inc.  
Title: General Partner

By: /s/ Brian Adamsky  
Name: Brian Adamsky  
Title: Chief Financial Officer and Treasurer

**CO-INVEST CAPITAL PARTNERS,  
INC.**

/s/ Brian Adamsky  
Name: Brian Adamsky  
Title: Chief Financial Officer and Treasurer

**THE CO-INVESTMENT FUND II, L.P.**

By: Co-Invest Management II, L.P.  
Title: General Partner

By: Co-Invest Capital Partners II, Inc.  
Title: General Partner

By: /s/ Brian Adamsky  
Name: Brian Adamsky  
Title: Chief Financial Officer and Treasurer

**CO-INVEST MANAGEMENT II, L.P.**

By: Co-Invest Capital Partners II, Inc.  
Title: General Partner

By: /s/ Brian Adamsky

Name: Brian Adamsky

Title: Chief Financial Officer and Treasurer

**CO-INVEST CAPITAL PARTNERS II,  
INC.**

/s/ Brian Adamsky

Name: Brian Adamsky

Title: Chief Financial Officer and Treasurer

/s/ Donald R. Caldwell

Donald R. Caldwell