Edgar Filing: Macrae Sandy - Form 4

Form 4												
January 28, 2 FORM										OMB APPROVAL OMB 3235-0287 Number:		
Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	er STATEM 5. Filed pur ^s Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(k) of the Investment Company Act of 1940								es: January 31, 2005 ated average in hours per nse 0.5		
(Print or Type R	esponses)											
Macrae Sandy Symbol				er Name and Ticker or Trading AMO THERAPEUTICS, INC D				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Mo 501 CANAL BLVD. 01/2 (Street) 4. If			3. Date of Earliest Transaction (Month/Day/Year) 01/24/2019					X Director 10% Owner X Officer (give title Other (specify below) below) President and CEO				
				. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
RICHMONI	D, CA 94804							Form filed by N Person	More than One Re	porting		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Data (Month/Day/Year)	Execution any	med n Date, if Day/Year)	3. Transactic Code (Instr. 8) Code V		ispose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	01/24/2018			F	3,974 (1)	D	\$ 11.1	27,526 <u>(2)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans
					of (D) (Instr. 3, 4, and 5)						(Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Macrae Sandy 501 CANAL BLVD. RICHMOND, CA 94804	Х		President and CEO					
Signatures								
Denise Winn, Attorney-in-Fact Macrae	01/28/2019							

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents shares underlying the portion of the restricted stock unit grant that vested on January 24, 2019 surrendered by the Reporting
(1) Person to the Issuer for tax withholding, using the Issuer's closing stock price on January 24, 2019 of \$11.10/share, pursuant to the terms of the Issuer's 2013 Stock Incentive Plan.

Includes 6,526 shares resulting from the January 24, 2019 vesting of Reporting Person's January 24, 2018 restricted stock unit grant and

(2) 21,000 shares subject to such restricted stock unit grant that will vest in two (2) additional equal annual installments on each anniversary of the grant date, such that they will be vested in full on the third (3rd) anniversary of the grant date, subject to the Reporting Person's continued service with the Issuer through such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.