

BAUR MICHAEL L
Form 4
November 13, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
BAUR MICHAEL L

(Last) (First) (Middle)

6 LOGUE COURT

(Street)

GREENVILLE, SC 29615

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
SCANSOURCE, INC. [SCSC]

3. Date of Earliest Transaction
(Month/Day/Year)
11/09/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)
CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/09/2018		M ⁽¹⁾	22,739 A	\$ 34.35	58,439	D
Common Stock	11/09/2018		S ⁽¹⁾	22,039 D	\$ 41.39 (2)	36,400	D
Common Stock	11/09/2018		S ⁽¹⁾	700 D	\$ 42	35,700	D
Common Stock	11/12/2018		M ⁽¹⁾	975 A	\$ 34.35	36,675	D
Common Stock	11/12/2018		S ⁽¹⁾	975 D	\$ 41.24	35,700	D

Edgar Filing: BAUR MICHAEL L - Form 4

Common Stock	11/13/2018		<u>M</u> ⁽¹⁾	2,714	A	⁽³⁾ \$ 34.35	38,414	D
Common Stock	11/13/2018		<u>S</u> ⁽¹⁾	2,714	D	⁽⁴⁾ \$ 41.08	35,700	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 34.35	11/09/2018		M ⁽¹⁾		22,739		⁽⁵⁾	08/21/2022	Common Stock	22,739
Employee Stock Option (Right to Buy)	\$ 34.35	11/12/2018		M ⁽¹⁾		975		⁽⁵⁾	08/21/2022	Common Stock	975
Employee Stock Option (Right to Buy)	\$ 34.35	11/13/2018		M ⁽¹⁾		2,714		⁽⁵⁾	08/21/2022	Common Stock	2,714

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

BAUR MICHAEL L
6 LOGUE COURT
GREENVILLE, SC 29615

X

CEO

Signatures

/s/Michael L.
Baur

11/13/2018

 Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions covered by this Form 4 have been effected pursuant to a Rule 10b5-1 Sales Plan adopted by the reporting person on March 22, 2018.

The price reported in column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$41.00 to \$41.95 inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (2) The price reported in column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$41.00 to \$41.36 inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (3) The price reported in column 4 is the weighted average price. These shares were sold in multiple transactions at prices ranging from \$41.00 to \$41.15 inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (4) The option vested in one-third increments on the anniversary of the grant date on 12/02/2012, 12/02/2013 and 12/02/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.