Edgar Filing: NAVARRE RICHARD A - Form 4

| | RICHARD A | | | | | | | | | |
|--|-------------------------|--------------------------------------|--------------------------------|---------------|----------------------|---|--|------------------------------|-------------------------|--|
| Form 4 | 2010 | | | | | | | | | |
| October 29, | | | | | | | | | | |
| FORM | 14 | CT A TEC | SECU | DITIES | AND EV | | E COMMISSION | т | PPROVAL | |
| | UNITED | STATES | | | AND EX 1, D.C. 20 | | | Number: | 3235-0287 | |
| Check th if no lon | | | | Expires: | January 31, 2005 | | | | | |
| subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERS | | | | | | WNERSHIP OF | Estimated average | | | |
| Section 16. SECURITIES | | | | | | | burden hou | | | |
| Form 5 | | rsuant to S | Section | 16(a) of t | he Securi | ties Excha | nge Act of 1934, | response | . 0.5 | |
| obligatio | ons Section 17(| | | | | | of 1935 or Section | on | | |
| may con <i>See</i> Instr 1(b). | unue. | | | | | y Act of 1 | | | | |
| (Print or Type | Responses) | | | | | | | | | |
| 1. Name and A | Person [*] | 2. Issuer Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to | | | | |
| NAVARRE RICHARD A | | | Symbol | | | | Issuer | | | |
| | | | ARCH | COAL I | NC [ARC | [H] | (Check all applicable) | | | |
| (Last) | (First) (| Middle) | | of Earliest 7 | Transaction | | | | | |
| ONE CITYPLACE DRIVE, SUITE 300 | | | (Month/Day/Year) 10/25/2018 | | | | X_ Director10% Owner Officer (give titleOther (specify below) below) | | | |
| (Street) | | | 4. If Amendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | |
| | | | Filed(Mo | onth/Day/Yea | ar) | | Applicable Line) | | | |
| ST. LOUIS | , MO 63141 | | | | | | _X_ Form filed by Form filed by Person | | | |
| (City) | (State) | (Zip) | Tak | la I Non | Dorivotivo | Socurities A | Acquired, Disposed of | or Bonoficio | lly Owned | |
| 1.Title of | 2. Transaction Date | 24 Daam | | 3. | 4. Securit | | | | 7. Nature of | |
| Security | (Month/Day/Year) | Execution | | | nAcquired | | | 6. Ownership Form: Direct | Indirect | |
| (Instr. 3) | · · · · | any | | Code | Disposed | of (D) | Beneficially | (D) or Indirect | | |
| | | (Month/Da | ay/Year) | (Instr. 8) | (Instr. 3, 4 | and 5) | | (I) (Instr. 4) | Ownership (Instr. 4) | |
| | | | | | | (A) | Reported | (1115111-1) | (1115411-1) | |
| | | | | | | or | Transaction(s) (Instr. 3 and 4) | | | |
| | | | | Code V | Amount | (D) Price | (instr. 5 and 4) | | | |
| Reminder: Rep | oort on a separate line | e for each cl | ass of sec | urities bene | ficially own | ned directly | or indirectly. | | | |
| | 1 | | | | Perso | ns who res | spond to the colle | | SEC 1474 | |
| | | | | | | | tained in this form | | (9-02) | |
| | | | | | | | ond unless the for ntly valid OMB cor | | | |
| | | | | | numb | | | | | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount of | 8. Pr |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|-------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | onof Derivative | Expiration Date | Underlying Securities | Deriv |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) | Secu |

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| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. | | Acquired (A) or Disposed (D) (Instr. 3, and 5) | d of | | | | | (Ins |
|------------------------------|------------------------------------|------------|------------------|---------|---|---|------|---------------------|--------------------|----------------------------|--|------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | <u>(1)</u> | 10/25/2018 | | А | | 1,350 | | (2) | (2) | Class A Common Stock | 1,350 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| NAVARRE RICHARD A ONE CITYPLACE DRIVE, SUITE 300 ST. LOUIS, MO 63141 | Х | | | | | |
| Signatures | | | | | | |
| /s/ Rosemary L. Klein, Attorney-in-Fact | 10/29 | /2018 | | | | |
| **Signature of Reporting Person | Da | te | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Class A Common Stock of Arch Coal, Inc.

The restricted stock units vest on the first anniversary of the grant date. Vested shares are scheduled to be delivered to the reporting(2) person on the earlier of the third month anniversary of the date of the reporting person's separation of service from the Board or the date of the occurrence of a Change of Control.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.