SUBIN NEIL S Form 4 October 25, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

Check this box

if no longer

Section 16.

Form 4 or

obligations

Form 5

subject to

1(b).

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* SUBIN NEIL S

> (First) (Middle)

3300 SOUTH DIXIE HIGHWAY, SUITE 1-365

(Street)

WEST PALM BEACH, FL 33405

2. Issuer Name and Ticker or Trading Symbol

30(h) of the Investment Company Act of 1940

ITERIS, INC. [ITI]

3. Date of Earliest Transaction (Month/Day/Year)

10/24/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_X\_\_ 10% Owner Director Officer (give title \_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City)	(State) (	(Zip) Table	I - Non-D	erivative (	Secur	ities Acc	quired, Disposed	of, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired tion(A) or Disposed of (D) ) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	10/24/2018		S	8	D	\$ 4.16	90,930 (1) (2)	I	By Trust A-2 - Lloyd I. Miller
Common Stock	10/24/2018		S	101	D	\$ 4.16	1,137,650 <u>(1)</u> <u>(2)</u>	I	By LIM III - Trust A-4
Common Stock	10/24/2018		S	101	D	\$ 4.16	1,137,649 <u>(1)</u> <u>(2)</u>	I	By MBM - Trust A-4
Common Stock	10/24/2018		S	16	D	\$ 4.16	176,144 (1) (2)	I	By Trust C - Lloyd I. Miller
	10/24/2018		S	5	D		52,485 (1) (2)	I	

#### Edgar Filing: SUBIN NEIL S - Form 4

Common Stock					\$ 4.16		By Trust D - Lloyd I. Miller
Common Stock	10/24/2018	S	3	D	\$ 4.16	39,138 (1) (2) I	By Lloyd I. Miller, III Revocable Trust
Common Stock	10/24/2018	S	115	D	\$ 4.16	1,324,422 (1) (2)	By Milfam II L.P.
Common Stock	10/24/2018	S	30	D	\$ 4.16	338,418 (1) (2) I	By Milfam I L.P.
Common Stock	10/24/2018	S	3	D	\$ 4.16	29,270 (1) (2) I	By LIMFAM LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Title	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNumber	Expiration Da	ite	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									A	
									Amount	
						Date Expiration Exercisable Date	Expiration		or	
							Title Number			
									of	
				Code V	I (A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SUBIN NEIL S 3300 SOUTH DIXIE HIGHWAY SUITE 1-365 WEST PALM BEACH, FL 33405		X					

Reporting Owners 2

## **Signatures**

/s/ Paul N. Silverstein Attorney-in-fact

10/25/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Except for possessing voting and dispositive power, the reporting person disclaims any other beneficial ownership of, and specifically any pecuniary interest in, the securities reported herein. This filing is not, and shall not be deemed, an admission (and the reporting person expressly disclaims) that the reporting person is, for purposes of Rule 16a-1(a)(2) under Section 16 of the Securities Exchange Act of 1934, the beneficial owner of any equity securities covered by this filing.
- Mr. Neil Subin succeeded to the position of President and Manager of MILFAM LLC, which serves as manager, general partner, or (2) investment advisor of a number of entities formerly managed or advised by the late Lloyd I. Miller, III. Mr. Subin also serves as trustee of a number of Miller family trusts.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3