Edgar Filing: Ramsey Matthew S. - Form 4

| Form 4 | | | | | | | | | | | |
|---|---|--|---|--|--------------------------|-----------------------|--|--|--|--|--|
| | FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | OMB APPROVAL OMB 3235-0287 Number: | | | |
| Check thi if no long subject to Section 1 Form 4 of Form 5 obligation may cont <i>See</i> Instru 1(b). | 6. Filed pur Section 17(5 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | | January 31, 2005 verage rs per 0.5 | |
| (Print or Type F | Responses) | | | | | | | | | | |
| Ramsey Matthew S. Symbol | | | r Name and Ticker or Trading Transfer LP [ET] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Mont | | | | ate of Earliest Transaction nth/Day/Year) 19/2018 | | | | (Check all applicable) X_ Director10% Owner Officer (give titleOther (specify below) below) | | | |
| | | | ndment, Date Original th/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person | | | | |
| DALLAS, T | TX 75225 | | | | | | | Form filed by M Person | ore than One Re | porting | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative Se | curiti | es Acqı | uired, Disposed of, | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | Security (Month/Day/Year) Execution Date, if | | | 3.4. Securities AcquiredTransaction(A) or Disposed of (D)Code(Instr. 3, 4 and 5)(Instr. 8) | | | | Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Units | 10/19/2018 | | | Code V A | Amount 361,289 (1) | (A) or (D) A | Price (1) | Reported Transaction(s) (Instr. 3 and 4) 425,926 (2) | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exer | cisable and | 7. Title and A | Amount of | 8. Price |
|------------------|-------------|---------------------|--------------------|-------------|------------|---------------------|--------------------|---|----------------------------------|------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transaction | onNumber | Expiration Date | | Underlying Securities | | Derivativ |
| Security | or Exercise | | any | Code | of | (Month/Day/Year) | | (Instr. 3 and 4) | | Security |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivativ | e | | | | (Instr. 5) |
| | Derivative | | | | Securities | | | | | |
| | Security | | | | Acquired | | | | | |
| | | | | | (A) or | | | | | |
| | | | | | Disposed | | | | | |
| | | | | | of (D) | | | | | |
| | | | | | (Instr. 3, | | | | | |
| | | | | | 4, and 5) | | | | | |
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Phantom Units | <u>(3)</u> | | | | | (3) | (3) | $\frac{\text{Common}}{\text{Units } \underline{(3)}}$ | 223,908 | |

Reporting Owners

Relationships **Reporting Owner Name / Address** Director 10% Owner Officer Other Ramsey Matthew S. Х 8111 WESTCHESTER DRIVE, SUITE 600 DALLAS, TX 75225 Signatures Peggy J. Harrison, Attorney-in-fact for Mr. Ramsey 10/23/2018

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Acquisition in connection with the merger of a wholly owned subsidiary of Energy Transfer Equity, L.P. ("ETE") with Energy Transfer Partners, L.P. ("ETP"), with ETP continuing as the surviving entity and becoming a wholly owned subsidiary of ETE (the "Merger"). On October 18, 2018, the last trading day for the ETP common units, the closing price of ETP's common units was \$21.47 per unit and the

- (1) closing price of ETE's common units was \$16.82 per unit. Each ETP common unit outstanding was converted into the right to receive 1.28 ETE common units. As part of the Merger, ETE changed its name to "Energy Transfer LP" and its common units began trading on the New York Stock Exchange ("NYSE") under the "ET" ticker symbol when the market opened on Friday, October 19, 2018.
- 331,806 of the reported units represent restricted unit awards granted under one of the Energy Transfer LP Long-Term Incentive Plans (2)that vest 60% after three years and 40% after five years.
- An award of phantom units granted under the Amended and Restated Energy Transfer LP Long-Term Incentive Plan scheduled to vest (3) 60% on December 5, 2020 and 40% on December 5, 2022 and may vest in Partnership units, cash or other securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date