

DAVIS RAY C  
Form 3  
July 24, 2018

**FORM 3** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â DAVIS RAY C

(Last) (First) (Middle)

5950 SHERRY LANE, SUITE 550

(Street)

DALLAS,Â TXÂ 75225

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

07/17/2018

3. Issuer Name **and** Ticker or Trading Symbol  
Energy Transfer Equity, L.P. [ETE]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer ☐ Other  
(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Units	26,736,558	D	Â
Common Units	328,383	I	By: ET Company, Ltd. <sup>(1)</sup>
Common Units	51,701	I	By: Avatar Holdings LLC <sup>(2)</sup>
Common Units	1,002,494	I	By: RCD Stock Holdings, LLC <sup>(3)</sup>
Common Units	1,354,720	I	By: Avatar BW, Ltd. <sup>(4)</sup>
Common Units	15,987,283	I	By: Linda Davis 2008 Family Trust <sup>(5)</sup>
Common Units	121,117	I	By: Avatar Stock Holdings, LP <sup>(6)</sup>
Common Units	28,203,003	I	By: Avatar ETC Stock Holdings, LLC <sup>(7)</sup>
Common Units	3,557,757	I	By: Avatar Investments, LP <sup>(8)</sup>

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Common Units	4,768,027	I	By: Ray Davis 2008 Grandchildren's Trust <sup>(9)</sup>
Common Units	4,768,027	I	By: Linda Davis 2008 Grandchildren's Trust <sup>(10)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Units	Â <sup>(11)</sup>	Â <sup>(11)</sup>	Common Units	2,500	\$ 0
					D
					Â

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
DAVIS RAY C 5950 SHERRY LANE, SUITE 550 DALLAS, TX 75225	Â X Â Â Â

## Signatures

By: Peggy J. Harrison, Attorney-in-fact from Mr.  
Davis

07/24/2018

<sup>\*\*</sup>Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported units are owned directly by ET Company, Ltd. The reported units represent the estimated pro rata interest of Mr. Davis in ET Company, Ltd. Mr. Davis disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein.
- (2) The reported units are owned directly by Avatar Holdings LLC, a limited liability company owned by Mr. Davis.
- (3) The reported units are owned directly by RCD Stock Holdings, LLC, a limited liability company owned by Mr. Davis
- (4) The reported units are owned directly by Avatar BW, Ltd. a limited partnership, of which Mr. Davis is the owner of the general partner.
- (5)

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The reported units are owned directly by the Linda Davis 2008 Family Trust for the benefit of Mr. Davis' family. Mr. Davis' spouse is trustee of the trust. Mr. Davis disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein if any.

- (6) The reported units are owned directly by Avatar Stock Holdings, LP, a limited partnership of which Mr. Davis is the owner of the general partner.
- (7) The reported units are owned directly by Avatar ETC Stock Holdings LLC, a limited liability company owned by Mr. Davis.
- (8) The reported units are owned directly by Avatar Investments, LP, a limited partnership, of which Mr. Davis is the owner of the general partner.

- (9) The reported units are owned directly by the Ray Davis 2008 Grandchildren's Trust for the benefit of Mr. Davis' grandchildren. Mr. Davis is the trustee of the trust. Mr. Davis disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein if any.

- (10) The reported units are owned directly by the Linda Davis 2008 Grandchildren's Trust for the benefit of Mr. Davis' grandchildren. Mr. Davis' spouse is the trustee of the trust. Mr. Davis disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein if any.

- (11) An award of phantom units granted under the Amended and Restated Energy Transfer Equity, L.P. Long-Term Incentive Plan that will vest 60% on the third anniversary and 40% on the fifth anniversary of the award generally contingent upon the reporting person's continued service on the Board of the general partner of the Partnership on each applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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