

BRISTOW PETER M

Form 4

May 31, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
BRISTOW PETER M

2. Issuer Name **and** Ticker or Trading
Symbol
FIRST CITIZENS BANCSHARES
INC /DE/ [FCNCA]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

4300 SIX FORKS ROAD

(Street)

RALEIGH, NC 27609

(City) (State) (Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
03/28/2018

☐ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)

President

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common					6,737	D	
Class A Common					255,606 ⁽¹⁾	I	By Spouse
Class A Common					10,858 ⁽¹⁾	I	Spouse as beneficiary of trust
Class A Common					10,850 ⁽¹⁾	I	As custodian for Peter Bristow, Jr.
					12,533	I	

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Class A Common									As custodian for Charlotte Bristow	
Class A Common						12,377	I		As custodian for Ella Bristow	
Class A Common						6,106 ⁽¹⁾	I		PMB Investments, LLC	
Class A Common						2,154 ⁽¹⁾	I		CRB Investments, LLC	
Class A Common						2,045 ⁽¹⁾	I		EHB Investments, LLC	
Class A Common						19,041 ⁽¹⁾	I		As trustee for PMB Trust 2011	
Class A Common						19,041 ⁽¹⁾	I		As trustee for CRB Trust 2011	
Class A Common						19,041 ⁽¹⁾	I		As trustee for EHB Trust 2011	
Class A Common						1,775 ⁽¹⁾	I		By son, Peter M. Bristow, Jr.	
Class B Common						438	D			
Class B Common	03/28/2018		G	V	246	D	\$ 0	82,866	I	By spouse
Class B Common								1,250 ⁽¹⁾	I	Spouse as beneficiary of Trust
Class B Common								2,080 ⁽¹⁾	I	As custodian for Peter Bristow, Jr.
Class B Common								2,081 ⁽¹⁾	I	As custodian for Charlotte Bristow
Class B Common								2,081 ⁽¹⁾	I	As custodian for Ella Bristow

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Class B Common						8,350 ⁽¹⁾	I	PMB Investments, LLC
Class B Common						8,850 ⁽¹⁾	I	CRB Investments, LLC
Class B Common						8,710 ⁽¹⁾	I	EHB Investments, LLC
Class B Common	03/28/2018		G V 82	A	\$ 0	82 ⁽¹⁾	I	By Son, Peter M. Bristow, Jr.
Class B Common	03/28/2018		G V 82	A	\$ 0	82 ⁽¹⁾	I	By daughter, Charlotte Bristow
Class B Common	03/28/2018		G V 82	A	\$ 0	82 ⁽¹⁾	I	By daughter, Ella Bristow

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
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Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Code V (A) (D)			

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other

BRISTOW PETER M
4300 SIX FORKS ROAD X X President
RALEIGH, NC 27609

Signatures

Peter M. Bristow, By: William R. Lathan, Jr.
Attorney-in-fact

05/24/2018

—Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the

(1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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