

WARREN KELCY L
Form 4
May 23, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WARREN KELCY L

(Last) (First) (Middle)

8111 WESTCHESTER DRIVE

(Street)

DALLAS, TX 75225

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Energy Transfer Equity, L.P. [ETE]

3. Date of Earliest Transaction (Month/Day/Year)

05/21/2018

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Common Units	05/21/2018		C		6,205,936	A	Ⓣ 32,054,156	D	By: Kelcy Warren Partners II, L.P. (2)
Common Units	05/21/2018		C		1,979,529	A	Ⓣ 10,224,429	I	By: ET Company, Ltd. (3)
Common Units	05/21/2018		C		63,577	A	Ⓣ 328,383	I	By: Kelcy Warren Partners,

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Common Units	05/21/2018	C	17,731,670	A	<u>(1)</u>	91,585,486	I	L.P. ⁽⁴⁾ By: Seven Bridges Holdings, LLC ⁽⁵⁾
Common Units	05/21/2018	C	20,166	A	<u>(1)</u>	104,166	I	By: Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Series A Convertible Preferred Units	\$ 6.56	05/21/2018		C	25,848,220	<u>(1)</u> <u>(1)</u>	Common Units	6,20
Series A Convertible Preferred Units	\$ 6.56	05/21/2018		C	8,244,900	<u>(1)</u> <u>(1)</u>	Common Units	1,97
Series A Convertible Preferred Units	\$ 6.56	05/21/2018		C	264,806	<u>(1)</u> <u>(1)</u>	Common Units	63,
Series A Convertible Preferred Units	\$ 6.56	05/21/2018		C	79,102,200	<u>(1)</u> <u>(1)</u>	Common Units	18,99
Series A Convertible Preferred Units	\$ 6.56	05/21/2018		C	73,853,816	<u>(1)</u> <u>(1)</u>	Common Units	17,73
	\$ 6.56	05/21/2018		C	84,000	<u>(1)</u> <u>(1)</u>		20,

