Gruber Patrick R. Form 4 April 03, 2018

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

if no longer subject to Section 16. Form 4 or

Check this box

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * Gruber Patrick R.

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

(Middle)

(Zip)

Gevo, Inc. [GEVO]

(Check all applicable)

GEVO, INC., 345 INVERNESS

(Street)

(State)

(First)

3. Date of Earliest Transaction (Month/Day/Year) 03/29/2018

_X__ Director 10% Owner Other (specify X_ Officer (give title

DRIVE SOUTH, BUILDING C,

Chief Executive Officer

SUITE 310

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

ENGLEWOOD, CO 80112

| | | | | | | | lanca, 2 isposea | or, or <i>2</i> criticing | |
|------------|---------------------|--------------------|------------|------------------------------|---------|------------|------------------|---------------------------|--------------|
| 1.Title of | 2. Transaction Date | 2A. Deemed | 3. | 4. Securi | ities A | cquired | 5. Amount of | 6. Ownership | 7. Nature of |
| Security | (Month/Day/Year) | Execution Date, if | Transactio | ransaction(A) or Disposed of | | | Securities | Form: Direct | Indirect |
| (Instr. 3) | | any | Code | (D) | | | Beneficially | (D) or | Beneficial |
| | | (Month/Day/Year) | (Instr. 8) | (Instr. 3, 4 and 5) | | Owned | Indirect (I) | Ownership | |
| | | • | | | | Following | (Instr. 4) | (Instr. 4) | |
| | | | | | | | Reported | | |
| | | | | | (A) | | Transaction(s) | | |
| | | | G 1 17 | | or | ъ. | (Instr. 3 and 4) | | |
| | | | Code V | Amount | (D) | Price | | | |
| Common | 03/29/2018 | | M | 91 | Α | (1) | 3,282 | D | |
| Stock | 03/29/2016 | | 1V1 | 91 | A | <u>(1)</u> | 3,202 | D | |
| | | | | | | | | | |
| Common | 03/29/2018 | | F | 31 (2) | D | \$ | 2 251 | D | |
| Stock | 0312912010 | | 1 | 31 (2) | ע | 0.46 | 3,231 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2. Conversion | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4. Transacti | 5. orNumber | 6. Date Exer Expiration D | | 7. Title and A Underlying S | | 8. Price of Derivative |
|------------------------------|---|--------------------------------------|-------------------------------|--------------------|---|------------------------------|--------------------|-----------------------------|--|------------------------|
| Security (Instr. 3) | or Exercise Price of Derivative Security | | any (Month/Day/Year) | Code (Instr. 8) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/Year) | | (Instr. 3 and 4) | | Security (Instr. 5) |
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | (1) | 03/29/2018 | | M | 91 | (3) | (3) | Common Stock | 91 | \$ 0 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|-------------------------------|-------|--|--|
| The state of the s | Director | 10% Owner | Officer | Other | | |
| Gruber Patrick R. GEVO, INC., 345 INVERNESS DRIVE SOUTH BUILDING C, SUITE 310 ENGLEWOOD, CO 80112 | X | | Chief Executive Officer | | | |

Signatures

/s/ Geoff Williams, as
Attorney-in-Fact
04/03/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units convert into common stock on a one-for-one basis
- (2) The disposition represents the net settlement of shares upon the vesting of restricted stock units.
- (3) On September 16, 2015, the reporting person was granted restricted stock units and options that will vest monthly for three years beginning July 29, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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