#### Riga Thomas J Form 3 December 27, 2017 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB Number

#### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL

OMB Number: 3235-0104 Expires: January 31, 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Riga Thomas J			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol SPECTRUM PHARMACEUTICALS INC [SPPI]				
(Last)	(First)	(Middle)	12/17/2017	/2017 4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Origina Filed(Month/Day/Year)	
11500 S. EA SUITE 240	ASTERN A	VE.,			all applicable	)	Thed(Monan Day, Tear)	
HENDERSO	(Street) ON, NVÂ	À 89052		Director 10% Owner X Officer Other (give title below) (specify below) Chief Operating Officer			<ul> <li>6. Individual or Joint/Group</li> <li>Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting</li> <li>Person</li> <li> Form filed by More than One</li> <li>Reporting Person</li> </ul>	
(City)	(State)	(Zip)	Table I - N	Non-Derivat	tive Securit	ies Be	neficially Owned	
1.Title of Secu (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	-	
Common St	ock, \$0.00	1 par value	151,696		D	Â		
Common Stock, \$0.001 par value			9,096	9,096		By 401k Plan		
Reminder: Rep owned directly	-		ach class of securities benefic	<sup>ially</sup> S	SEC 1473 (7-02	2)		

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#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	07/08/2023	Common Stock	35,000	\$ 7.88	D	Â
Stock Option (Right to Buy)	(2)	03/25/2024	Common Stock	17,331	\$ 7.78	D	Â
Stock Option (Right to Buy)	( <u>3)</u>	02/18/2025	Common Stock	40,000	\$ 7.23	D	Â
Stock Option (Right to Buy)	(4)	04/15/2025	Common Stock	50,000	\$ 6.01	D	Â
Stock Option (Right to Buy)	(5)	10/26/2025	Common Stock	50,000	\$ 5.33	D	Â
Stock Option (Right to Buy)	(6)	12/14/2025	Common Stock	100,000	\$ 5.31	D	Â
Stock Option (Right to Buy)	(7)	03/30/2026	Common Stock	45,000	\$ 6.03	D	Â
Stock Option (Right to Buy)	(8)	05/12/2027	Common Stock	35,000	\$ 5.99	D	Â

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
, of the second s	Director	10% Owner	Officer	Other		
Riga Thomas J 11500 S. EASTERN AVE., SUITE 240 HENDERSON, NV 89052	Â	Â	Chief Operating Officer	Â		
Signatures						
/s/Kurt A Gustafson attorney-in-fact for	Thomas	T				

/s/ Kurt A. Gustafson, attorney-in-fact for Thomas J. Riga <u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options are fully vested.
- (2) 25% of the option shares vested on March 25, 2015. The remaining option shares shall vest in 25% equal annual amounts on each anniversary date of the grant over three years.
- (3) 25% of the option shares vested on February 18, 2016. The remaining option shares shall vest in 25% equal annual amounts on each anniversary date of the grant over three years.
- (4) 25% of the option shares vested on April 15, 2015. The remaining option shares shall vest in 25% equal annual amounts on each anniversary date of the grant over three years.

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- (5) 25% of the option shares vested on October 26, 2015. The remaining option shares shall vest in 25% equal annual amounts on each anniversary date of the grant over three years.
- (6) 25% of the option shares vested on December 14, 2015. The remaining option shares shall vest in 25% equal annual amounts on each anniversary date of the grant over three years.
- (7) 25% of the option shares vested on March 30, 2017. The remaining option shares shall vest in 25% equal annual amounts on each anniversary date of the grant over three years.
- (8) 25% of the option shares shall vest on May 12, 2018. The remaining option shares shall vest in 25% equal annual amounts on each anniversary date of the grant over three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.