

Cassella Anthony E. Jr.
Form 4
October 03, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Cassella Anthony E. Jr.

2. Issuer Name **and** Ticker or Trading
Symbol
MARINEMAX INC [HZO]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
2600 MCCORMICK DRIVE, SUITE
200

3. Date of Earliest Transaction
(Month/Day/Year)
09/30/2017

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

VP & Chief Accounting Officer

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

CLEARWATER, FL 33759

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	09/30/2017		M	1,333 A \$ 0	7,844 ⁽¹⁾	D	
Common Stock	09/30/2017		F	365 D \$ 16.55	7,479	D	
Common Stock	09/30/2017		M	3,000 A \$ 0	10,479	D	
Common Stock	09/30/2017		F	821 D \$ 16.55	9,658	D	
Common Stock	09/30/2017		M	1,029 A \$ 0	10,687	D	

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Common Stock 09/30/2017 F 282 D \$ 16.55 10,405 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Restricted Stock Units	<u>(2)</u>	09/30/2017		M	1,333	<u>(3)</u> <u>(3)</u>	Common Stock	1,333
Performance Based Restricted Stock Units	<u>(2)</u>	09/30/2017		M	3,000	<u>(4)</u> <u>(4)</u>	Common Stock	3,000
Restricted Stock Units	<u>(2)</u>	09/30/2017		M	1,029	<u>(5)</u> <u>(5)</u>	Common Stock	1,029

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Cassella Anthony E. Jr.
2600 MCCORMICK DRIVE, SUITE 200
CLEARWATER, FL 33759

VP & Chief
Accounting
Officer

Signatures

/s/Michael H. McLamb, attorney-in-fact for Anthony E. Cassella, Jr.

10/03/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 544 shares acquired under the MarineMax Employee Stock Purchase Plan on various dates during Q3 of fiscal 2017.
- (2) Each restricted stock unit represents a contingent right to receive one share of Marinemax, Inc. Common Stock.
- (3) The restricted stock units vest in three annual installments beginning on September 30, 2016.
- (4) The performance-based restricted stock units vest on September 30, 2017. These units were awarded based on performance criteria established on November 15, 2014 and tied to inventory management and operations during fiscal 2016.
- (5) The restricted stock units vest in three annual installments beginning on September 30, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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