## Edgar Filing: MAUNEY DAVID M MD - Form 4

MAUNEY DA	VID M MD										
Form 4											
October 02, 20	017								PPROVAL		
FORM	UNITED	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
Check this if no longer subject to Section 16. Form 4 or Form 5 obligations may contin <i>See</i> Instruct 1(b).	Filed pur Section 17(										
(Print or Type Re	sponses)										
MAUNEY DAVID M MD S				er Name <b>and</b> IARM ON		-	Issuer	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(M				of Earliest Ti Day/Year) 2017	ransaction		below)	Officer (give title Other (specify			
				endment, Da onth/Day/Yea	-	1	Applicable Line) _X_ Form filed by (	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
	A 02129						Person				
(City)	(State)	(Zip)	Tab	ole I - Non-I	Derivative	Securities	s Acquired, Disposed of	f, or Beneficia	lly Owned		
	curity (Month/Day/Year) Execution		Date, if	3. Transactio Code (Instr. 8) Code V	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price		Securities F Beneficially ( Owned ( Following ( Reported Transaction(s) (Instr. 3 and 4)	5. Ownership Form: Direct D) or Indirect I) Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Repor	t on a separate line	e for each cl	ass of sec								

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code		5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Option (Right to Buy)	\$ 6.19	09/28/2017		А		500,000		<u>(1)</u>	09/28/2027	Common Stock	500,00
Reporting Owners											
<b>Reporting Owner Name / Address</b>				Relationships							
		Director	10% Own	er	Officer			(	Other		
MAUNEY DAVID M MD C/O ZIOPHARM ONCOLOGY INC ONE FIRST AVENUE, PARRIS BLDG 34 BOSTON, MA 02129			34	EVP and Chief Business Officer							

Signatures

/s/ Caesar J. Belbel, Attorney-In-Fact

10/02/2017

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1)  $\frac{166,667 \text{ shares subject to the option shall vest on each of } 9/28/2018 \text{ and } 9/28/2019; 166,666 \text{ shares subject to the option shall vest on } 9/28/2020.$

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.