## Edgar Filing: Global Water Resources, Inc. - Form 4

Global Water Resources, Inc. Form 4 March 02, 2017					
FORM A			OMB AP	PROVAL	
UNITED STA	TES SECURITIES AND EXCHANGE Washington, D.C. 20549	E COMMISSION	OMB Number:	3235-0287	
Section 16. Form 4 or Form 5 Filed pursuan	T <b>OF CHANGES IN BENEFICIAL O</b> <b>SECURITIES</b> to Section 16(a) of the Securities Excha	Expires:January 31Expires:2005Estimated averageburden hours perresponse0.5			
<i>See</i> Instruction 3 1(b).	the Public Utility Holding Company Act O(h) of the Investment Company Act of I		1		
(Print or Type Responses)					
1. Name and Address of Reporting Perso Theil L Rita	<ul> <li>2. Issuer Name and Ticker or Trading Symbol</li> <li>Global Water Resources, Inc.</li> </ul>	5. Relationship of Reporting Person(s) to Issuer			
	[GWRS]	(Check all applicable)			
(Last) (First) (Middle C/O GLOBAL WATER RESOURCES, INC., 21410 N 19 AVENUE #220	(Month/Day/Year) 02/28/2017	X Director Officer (give t below)		Owner · (specify	
(Street)	Filed(Month/Day/Year) Appl _X_		y One Reporting Person		
PHOENIX, AZ 85027		Form filed by M Person	lore than One Rep	oorting	
(City) (State) (Zip)	Table I - Non-Derivative Securities A	Acquired, Disposed of	, or Beneficially	y Owned	
(Instr. 3) any	eeemed 3. 4. Securities tion Date, if TransactionAcquired (A) or Code Disposed of (D) th/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price	SecuritiesFeBeneficially(IOwned(IFollowing(IReportedTransaction(s)(Instr. 3 and 4)	orm: Direct I D) or Indirect E	7. Nature of ndirect Beneficial Dwnership Instr. 4)	
Reminder: Report on a separate line for e	ch class of securities beneficially owned directly	or indirectly.		0 1 474	

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price o Derivativ Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Deferred Phantom Unit	<u>(1)</u>	02/28/2017	А	132	(2)	(2)	Common Stock	132	\$ 0

## Edgar Filing: Global Water Resources, Inc. - Form 4

## **Reporting Owners**

Reporting Owner Name / Address		Relationships				
	Dir	ector	10% Owner	Officer	Other	
Theil L Rita C/O GLOBAL WATER RESOURCES 21410 N 19TH AVENUE #220 PHOENIX, AZ 85027	, INC.	X				
Signatures						
/s/ Jeff Risenmay, attorney-in-fact	03/02/2017					
**Signature of Reporting Person	Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each deferred phantom unit ("DPU") is the economic equivalent to one share of common stock of Global Water Resouces, Inc. (the "Company").
- (2) The DPUs are fully vested upon grant. In addition, the DPUs are only permitted to be redeemed upon the reporting person ceasing to be a director of the Company and do not have a set expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.