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VALSPAR CORP Form 8-K September 07, 2016

#### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

### **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): September 7, 2016

#### THE VALSPAR CORPORATION

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction

1-3011 (Commission **36-2443580** (I.R.S. Employer

of incorporation)

File Number)

**Identification No.)** 

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## 1101 South 3<sup>rd</sup> Street, Minneapolis, Minnesota 55415 (Address of principal executive offices) (Zip Code) Registrant s telephone number, including area code: (612) 851-7000

#### **Not Applicable**

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

## Item 2.02. Results of Operations and Financial Condition.

On September 7, 2016, the Company issued the press release attached as Exhibit 99.1, which sets out the Company s results of operations for the fiscal quarter ended July 29, 2016.

## Item 9.01. Financial Statements and Exhibits.

- (d) Exhibits
  - 99.1 Fiscal Third Quarter 2016 Earnings Press Release dated September 7, 2016

#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

THE VALSPAR CORPORATION

Dated: September 7, 2016 /s/ James L. Muehlbauer Name: James L. Muehlbauer

Title: Executive Vice President, Chief Financial

and Administrative Officer

#### **EXHIBIT INDEX**

Exhibit

No. Description

99.1 Fiscal Third Quarter 2016 Earnings Press Release dated September 7, 2016 acing="0" border="0"> J. Scott Penny 03/10/2016\_\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Owned jointly with spouse.
- (2) Number of shares may vary due to dividend reinvestment. 68,474 of these shares were previously reported as directly beneficially owned by the reporting person.
- (3) This amendment reflects the correction of an error in the reporting person's brokerage account records that was reflected in the original filing that understated the reporting person's direct holdings by 5,411 shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.