Edgar Filing: Intra-Cellular Therapies, Inc. - Form 4

Intra-Cellular Therapies, Inc.

Stock

Common

12/07/2015

| Form 4 December 08 | 8 2015 | | | | | | | | | |
|---|---|---------------------|-----------------------------------|---|-------------------------|-----------|--|--|---|--------------------------|
| FORN | | | | | | | | | OMB AF | PROVAL |
| | UNITE | CD STATES | | RITIES A shington, | | | NGE C | OMMISSION | OMB Number: | 3235-0287 |
| Check th if no long subject to Section 1 Form 4 o Form 5 | | SECUR | RITIES | | | ERSHIP OF | Expires: Estimated a burden hour response | • | | |
| obligation may cont <i>See</i> Instru 1(b). | inue. Section | | | tility Hold vestment | - | | | 1935 or Section 0 | 1 | |
| (Print or Type I | Responses) | | | | | | | | | |
| 1. Name and A MARCUS J | Address of Report | ing Person <u>*</u> | Symbol | r Name and ellular Th | | | | 5. Relationship of Issuer | Reporting Pers | |
| ESTATE E | (First) ANDRIA REA QUITIES,INC ORADO BOU | ., 385 | 3. Date of (Month/D 12/04/2 | - | ransaction | | | X Director Officer (give below) | 10% |) Owner r (specify |
| | (Street) A, CA 91101 | | | ndment, Da nth/Day/Year | - | | | 6. Individual or Jo Applicable Line) _X_ Form filed by C Form filed by M Person | One Reporting Per | rson |
| (City) | (State) | (Zip) | Tabl | e I - Non-F | Derivative S | Securi | ties Acm | uired, Disposed of | or Beneficial | v Owned |
| 1.Title of Security (Instr. 3) | itle of 2. Transaction Date 2A. Deemed urity (Month/Day/Year) Execution Date, it | | ned n Date, if | Code (Instr. 3, 4 and 5) (Instr. 8) (A) or | | | quired of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect |
| Common Stock | 12/04/2015 | | | Code V S | Amount 30,000 (1) | (D) D | Price \$ 55.96 (2) | 1,253,856 | Ι | See Footnote |
| Common Stock | 12/07/2015 | | | S | 15,075 (1) | D | \$ 52.15 | 1,238,781 | I | See Footnote (1) |

24,925 (1)

S

D

\$ 53.78

1,213,856

I

See

(1)

Footnote

| Common Stock | 12/08/2015 | S | 22,700 (1) | D | \$ 54.8 | 1,191,156 | Ι | See Footnote |
|-----------------|------------|---|---------------|---|---------|-----------|---|--|
| Common Stock | | | | | | 18,722 | D | |
| Common Stock | | | | | | 15,742 | I | Held by the Joel S. Marcus and Barbara A. Marcus Family Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Under Secur | rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|------------------------|--|---|--|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| | Relationships | | | | | |
|--|---------------|--------------|---------|-------|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | |
| MARCUS JOEL S | | | | | | |
| C/O ALEXANDRIA REAL ESTATE EQUITIES,INC. | х | | | | | |
| 385 EAST COLORADO BOULEVARD, SUITE 299 | Λ | | | | | |

PASADENA, CA 91101

Signatures

/s/ Lawrence J. Hineline, Attorney-in-fact

**Signature of Reporting Person

12/08/2015

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Consists of shares of common stock held by Alexandria Equities, LLC. Mr. Marcus is the Chairman, CEO and Founder of Alexandria Real Estate Equities, Inc., which is the managing member of Alexandria Equities, LLC, which has full voting and investment power with

(1) respect to the shares owned by Alexandria Equities, LLC. As an officer of Alexandria Real Estate Equities, Inc., Mr. Marcus may be deemed to have voting and investment power with respect to the shares owned by Alexandria Equities, LLC. Mr. Marcus disclaims beneficial ownership of the shares held by Alexandria Equities, LLC, except to the extent of his underlying pecuniary interest therein.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$55.95 to \$56.00, inclusive. The reporting person undertakes to provide to Intra-Cellular Therapies, Inc. (the "Company"), any security holder of

(2) the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote (2) of this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.