Edgar Filing: BIOLASE, INC - Form 4

BIOLASE, I	NC									
Form 4										
November 0	5, 2014									
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									PROVAL	
Washington, D.C. 20549							OMB Number:	3235-0287		
Check th if no long		GES IN BENEFICIAL OWNE					Expires:	January 31, 2005		
subject to						NERSHIP OF	Estimated a			
Section 1 Form 4 o		SECURITIES						burden hou		
Form 5								response	0.5	
obligatio	ns Section $17(a)$ of the					•		n		
may cont See Instru	inue.	(h) of the Investm		•	• •					
1(b).										
(Print or Type I	Responses)									
1. Name and A Nugent Jeff	21 100 401 1 (41110	er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
Nugent Jen		Symbol								
			OLASE, INC [BIOL]				(Check all applicable)			
(Last)	(First) (Middle)	3. Date of Earlier (Month/Day/Yea		insaction			_X_ Director	10%	Owner	
			03/2014				X Officer (give title Other (specify			
CROMWELL			0,201				below) below) Chief Executive Officer			
(Street) 4. If Ame			nendment, Date Original				6. Individual or Joint/Group Filing(Check			
			onth/Day/Year)				Applicable Line)			
IRVINE, CA	``` `					_X_ Form filed by One Reporting Person Form filed by More than One Reporting				
							Person			
(City)	(State) (Zip)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of	2. Transaction Date 2A. I		1				5. Amount of	6. Ownership		
Security (Month/Day/Year) Execution Date, (Instr. 3) any			if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)					Form: Direct (D) or	Beneficial	
× ,	-	th/Day/Year) (Instr		× ,		,	Owned	Indirect (I)		
							Following Reported	(Instr. 4)	(Instr. 4)	
					(A)		Transaction(s)			
		Code	V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	11/03/2014	Р		40,465	A	\$ 2.39	92,548	D		
SIUCK						2.39				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrants (right to buy)	\$4	11/03/2014		Р	26,302	05/07/2015	11/07/2017	Common Stock	26,302

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 9 1 1 1 1 1 1 1 1 1 1 1	Director	10% Owner	Officer	Other			
Nugent Jeffrey M C/O BIOLASE, INC., 4 CROMWELL IRVINE, CA 92618	Х		Chief Executive Officer				
Signatures							
/s/ Michael C. Carroll, attorney-in-fact	11/05/	2014					
**Signature of Reporting Person	Da	te					
Explanation of Responses:							

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.