

NUVASIVE INC
Form 3
August 08, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Blackford Quentin S.
(Last) (First) (Middle)

7475 LUSK BLVD.
(Street)

SAN DIEGO, CA 92121

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
08/01/2014

3. Issuer Name and Ticker or Trading Symbol
NUVASIVE INC [NUVA]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer ____ Other
(give title below) (specify below)
CFO and CAO

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
____X____ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	800	D	^
Common Stock	3,125 ⁽¹⁾	D	^
Common Stock	10,000 ⁽²⁾	D	^
Common Stock	2,500 ⁽³⁾	D	^
Common Stock	6,250 ⁽⁴⁾	D	^
Common Stock	16,017 ⁽⁵⁾	D	^
Common Stock	23,697 ⁽⁶⁾	D	^

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Employee Stock Option (Right-to-Buy)	02/09/2010 ⁽⁷⁾	02/09/2019	Common Stock	3,500	\$ 38.68	D Â
Employee Stock Option (Right-to-Buy)	02/19/2011 ⁽⁷⁾	02/19/2020	Common Stock	12,500	\$ 29.95	D Â
Employee Stock Option (Right-to-Buy)	01/03/2011 ⁽⁸⁾	01/03/2021	Common Stock	3,645	\$ 26.62	D Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Blackford Quentin S. 7475 LUSK BLVD. SAN DIEGO, CA 92121	Â	Â	Â CFO and CAO	Â

Signatures

/s/ Jason M. Hannon,
Attorney-in-fact

08/08/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the unvested balance of an award of Restricted Stock Units that began vesting in 4 equal annual installments beginning on January 1, 2012.
- (2) Represents the unvested balance of an award of Restricted Stock Units that began vesting in 4 equal annual installments beginning on October 1, 2012.
- (3) Represents the unvested balance of an award of Restricted Stock Units that began vesting in 4 equal annual installments beginning on February 1, 2013.
- (4) Represents the unvested balance of an award of Restricted Stock Units that began vesting in 4 equal annual installments beginning on July 1, 2013.
- (5) Represents the unvested balance of an award of Restricted Stock Units that began vesting in 4 equal annual installments beginning on February 1, 2014.
- (6) Represents an award of Restricted Stock Units that vest in 4 equal annual installments beginning on January 1, 2015.
- (7) This date is the first of multiple vesting dates, all of which have occurred as of the date of this report.
- (8) 25% of the total shares subject to the option vested on January 3, 2012, the first anniversary of the date of grant; the remaining shares vest in 36 equal monthly installments thereafter.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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