## Edgar Filing: IPG PHOTONICS CORP - Form 4

| IPG PHOTO   | NICS CORP                          |  |   |                     |  |                  |   |                                     |  |                        |  |
|---|------------------------------------|--|---|---------------------|--|------------------|---|-------------------------------------|--|------------------------|--|
| Form 4  |                                    |  |   |                     |  |                  |   |                                     |  |                        |  |
| April 16, 201   | 4                                  |  |   |                     |  |                  |   |                                     |  |                        |  |
| FORM  | 14                                 |  |   |                     |  |                  |   |                                     | OMB AF   | PROVAL                 |  |
|   | UNITE                              | D STATES   |   | ITIES A<br>hington, |  |                  | NGE C   | OMMISSION                           | OMB<br>Number:   | 3235-0287              |  |
| Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 or | ger <b>STATH</b><br>6.             | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES  |   |                     |  |                  |   |                                     |  |                        |  |
| Form 5<br>obligatior<br>may conti<br><i>See</i> Instru<br>1(b). | inue. Section 1                    | response 0<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |   |                     |  |                  |   |                                     |  |                        |  |
| (Print or Type R  | Responses)                         |  |   |                     |  |                  |   |                                     |  |                        |  |
| Valentin Gapontsev Trust I Symbol                               |                                    |  | r Name and Ticker or Trading [OTONICS CORP [IPGP] |                     |  |                  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)                           |                                     |  |                        |  |
| (Last)  | (First)                            | (Middle)   | 3. Date of  | Earliest Tra        | ansaction  |                  |   | (Check                              | k an applicable  | )                      |  |
| C/O IPG PH<br>CORPORAT<br>WEBSTER                               | FION, 50 OLD                       | )  | (Month/D<br>04/14/20                              | -                   |  |                  |   | Director<br>Officer (give below)    | title Othe<br>below)   | o Owner<br>er (specify |  |
|   |                                    |  | ndment, Date Original<br>th/Day/Year)             |                     |  |                  | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |                                     |  |                        |  |
| OXFORD, N   | MA 01540                           |  |   |                     |  |                  |   |                                     | lore than One Re   |                        |  |
| (City)  | (State)                            | (Zip)  | Tabl  | e I - Non-D         | erivative  | Secur            | ities Acq   | uired, Disposed of                  | , or Beneficial  | ly Owned               |  |
| 1.Title of<br>Security<br>(Instr. 3)                            | 2. Transaction D<br>(Month/Day/Yea | Transaction Date2A. Deemedonth/Day/Year)Execution Daany(Month/Day/   |   |                     | Date, if Transaction(A) or Disposed of (D)<br>Code (Instr. 3, 4 and 5)<br>//Year) (Instr. 8) |                  |   | Securities<br>Beneficially<br>Owned | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                        |  |
|   |                                    |  |   | Code V              | Amount   | (A)<br>or<br>(D) | Price<br>\$   | Transaction(s)<br>(Instr. 3 and 4)  |  |                        |  |
| Common<br>Stock   | 04/14/2014                         |  |   | S <u>(1)</u>        | 1,388  | D                | φ<br>75.05<br>( <u>2)</u>   | 7,823,211                           | D  |                        |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2.<br>Conversion        | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if | 4.<br>Transact     | 5.<br>iorNumber      | 6. Date Exer<br>Expiration D |            | 7. Title and Amount of   | 8. Price of<br>Derivative | 9. Nu<br>Deriv |
|------------------------|-------------------------|---|----------------------------------|--------------------|----------------------|------------------------------|------------|--------------------------|---------------------------|----------------|
| Security (Instr. 3)    | or Exercise<br>Price of |   | any<br>(Month/Day/Year)          | Code<br>(Instr. 8) | of<br>Derivativ      | (Month/Day                   | /Year)     | Underlying<br>Securities | Security<br>(Instr. 5)    | Secur<br>Bene  |
| (11541. 5)             | Derivative              |   | (montal Day Tour)                | (1130.0)           | Securities           |                              |            | (Instr. 3 and 4)         | · · · · ·                 | Owne           |
|                        | Security                |   |                                  |                    | Acquired             |                              |            |                          |                           | Follo          |
|                        |                         |   |                                  |                    | (A) or               |                              |            |                          |                           | Repo           |
|                        |                         |   |                                  |                    | Disposed             |                              |            |                          |                           | Trans          |
|                        |                         |   |                                  |                    | of (D)<br>(Instr. 3, |                              |            |                          |                           | (Instr         |
|                        |                         |   |                                  |                    | (insu: 5, 4, and 5)  |                              |            |                          |                           |                |
|                        |                         |   |                                  |                    |                      |                              |            | Amoun                    | t                         |                |
|                        |                         |   |                                  |                    |                      | Date                         | Expiration | or                       |                           |                |
|                        |                         |   |                                  |                    |                      | Exercisable                  | Date       | Title Number             | r                         |                |
|                        |                         |   |                                  | Code V             | / (A) (D)            |                              |            | of<br>Shares             |                           |                |
|                        |                         |   |                                  | code               | (II) (D)             |                              |            | Shares                   |                           |                |
| Dener                  |                         |   |                                  |                    |                      |                              |            |                          |                           |                |

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## **Reporting Owners**

| Reporting Owner Name / Address   |            |           |         |       |
|--|------------|-----------|---------|-------|
|  | Director   | 10% Owner | Officer | Other |
| Valentin Gapontsev Trust I<br>C/O IPG PHOTONICS CORPORATION<br>50 OLD WEBSTER ROAD<br>OXFORD, MA 01540 |            | Х         |         |       |
| Signatures   |            |           |         |       |
| /s/ Angelo P. Lopresti, Attorney-in-fact for<br>Trust I  | 04/16/2014 |           |         |       |

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on December 16, 2013.
- The reported transaction involves sales transactions from \$75.00 to \$75.21 per share. The weighted average price per share was \$75.05.
- (2) The Reporting Person undertakes to provide upon request by the SEC staff, the Issuer or a security holder of the Issuer information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.