Hannon Armstrong Sustainable Infrastructure Capital, Inc.

Form 4

March 18, 2014

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Washington, D.C. 20549

30(h) of the Investment Company Act of 1940

1(b).

share

(Print or Type Responses)

1. Name and Chuslo Ste	Address of Repo	orting Person *	Symbol Hannon Arms	and Ticker or Trading trong Sustainable Capital, Inc. [HASI]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)	(First)	(Middle)	3. Date of Earlies (Month/Day/Year		Director _X_ Officer (give t below)	itle 0th			
1906 TOWNE CENTRE BLVD. SUITE 370			03/14/2014		GENERAL COUNSEL & EVP				
(Street) ANNAPOLIS, MD 21401			4. If Amendment	, Date Original	6. Individual or Joint/Group Filing(Check				
			Filed(Month/Day/	Year)	Applicable Line) _X_ Form filed by One Reporting Person				
					Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table I - No	n-Derivative Securities Acq	uired, Disposed of,	or Beneficia	lly Owned		
1.Title of	2. Transaction	Date 2A. Deen	ned 3.	4. Securities Acquired (A	) 5. Amount of	6.	7. Natur		

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit our Dispos (Instr. 3,	sed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common stock, par value \$0.01 per share	03/14/2014		P	5,000	A	\$ 14.4512 (1)	97,833 (2) (3)	D	
Common stock, par value \$0.01 per							4,370	I	See Footnote

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	•	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ctionN	umber	Expiration D	ate	Amou	int of	Derivative	
	Security	or Exercise		any	Code	of	f	(Month/Day/	Year)	Under	rlying	Security	
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	8) Derivative				Securities		(Instr. 5)	
		Derivative				Se	Securities			(Instr.	3 and 4)		
		Security	ecurity Acquired										
						(A	A) or						
						D	isposed						
						of	f (D)						
						(I	nstr. 3,						
						4,	and 5)						
											Amount		
											Amount		
							Date	Expiration	T:41-	Or			
								Exercisable	Date		Number		
				C- 1-	<b>3</b> 7 ()	A) (D)				of			
					Code	V (F	A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Chuslo Steven 1906 TOWNE CENTRE BLVD. SUITE 370 ANNAPOLIS, MD 21401

GENERAL COUNSEL & EVP

## **Signatures**

/s/ Steven Chuslo 03/18/2014

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$14.3150 to \$14.5400, inclusive. The Reporting Person undertakes to provide the Company, any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.
  - On April 23, 2013, the reporting person received, in an exempt transaction pursuant to Rule 16b-3 of the Securities and Exchange Act of 1934, 48,994 shares of restricted Common Stock that were issued in exchange for all of the outstanding shares of stock of SLC-HA, Inc.
- (2) pursuant to the Agreement and Plan of Merger, dated as of April 15, 2013, by and among, among others, Hannon Armstrong Sustainable Infrastructure Capital, Inc., HA Merger Sub III LLC and the reporting person (the "Merger Agreement"). The initial public offering price of the Issuer's Common Stock which the reporting person received pursuant to the Merger Agreement was \$12.50 per share.

Reporting Owners 2

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- On April 23, 2013, the reporting person was granted 43,714 shares of restricted Common Stock that were issued pursuant to the Hannon (3) Armstrong Sustainable Infrastructure Capital Inc. Equity Incentive Plan. The shares vest as to one fourth on April 23, 2014, one fourth on April 23, 2015, one fourth on April 23, 2016 and one fourth on April 23, 2017.
- (4) These shares are held by the reporting person's significant other. The reporting person disclaims ownership other than to the extent of his pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.