Dicerna Pharmaceuticals Inc Form 4/A February 20, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

0.5

January 31, Expires: 2005

OMB APPROVAL

Estimated average

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock (2)

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading LANGER DENNIS Issuer Symbol Dicerna Pharmaceuticals Inc (Check all applicable) [DRNA] (Last) (First) (Middle) 3. Date of Earliest Transaction X_ Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) C/O DICERNA 09/24/2013 PHARMACEUTICALS, INC., 480 ARSENAL STREET, BLDG 1, **SUITE 120** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person 01/29/2014 Form filed by More than One Reporting WATERTOWN, MA 02472 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Transaction(A) or Disposed of (D) Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership Form: Direct Beneficial (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Price Code Amount (D) Common 01/27/2014 M 8,873 D 8,873 Stock (1) 3.42 Langer Common Family 01/29/2014 \mathbf{C} 90,014 90,014 Ι Α (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Holdings, LLP

Edgar Filing: Dicerna Pharmaceuticals Inc - Form 4/A

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities Acquired (Instr. 8) (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Series A Preferred	<u>(1)</u>	01/29/2014		С		10,000	(2)	(2)	Common Stock	10,000
Series B Preferred	(1)	01/29/2014		С		8,586	(2)	(2)	Common Stock	8,586
Series C Preferred	(1)	01/29/2014		С		71,428	(2)	(2)	Common Stock	71,428
Employee Stock Option (right to buy)	\$ 3.42	01/27/2014		М		8,333	(3)	<u>(3)</u>	Common Stock	8,333
Employee Stock Option (right to buy)	\$ 3.42	01/27/2014		M		540	<u>(4)</u>	(4)	Common Stock	540
Employee Stock Option (right to buy)	\$ 3.42	09/24/2013		A	50,000		(3)	(3)	Common Stock	50,000

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LANGER DENNIS C/O DICERNA PHARMACEUTICALS, INC. 480 ARSENAL STREET, BLDG 1, SUITE 120 WATERTOWN, MA 02472



Signatures

/ s John Green 02/20/2014

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amendment to Form 4 is being filed solely to report transactions that occurred within six months before the issuer's IPO.
- (2) The issuer's outstanding Series A, Series B and Series C Preferred Stock was automatically converted on a one-for-one basis into shares of the issuer's common stock immediately upon the closing of the issuer's IPO and had no expiration date.
- (3) 1/36 vests on the last day of the month starting, with a vesting start date of 07/30/2013.
- (4) 1/12 vests per month, commencing 1 year after the vesting start date of 11/15/2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3