

SYNAPTICS INC
Form 4
January 30, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Barber Kevin D

(Last) (First) (Middle)

1251 MCKAY DRIVE

(Street)

SAN JOSE, CA 95131

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SYNAPTICS INC [SYNA]

3. Date of Earliest Transaction
(Month/Day/Year)
01/28/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

SVP & GM, Handheld Products

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock	01/28/2014		M		2,284	A	\$ 23.16
Common Stock	01/28/2014		M		13,602	A	\$ 27.99
Common Stock	01/28/2014		M		9,114	A	\$ 32.53
Common Stock	01/28/2014		S ⁽¹⁾		12,000	D	\$ 58.2118
Common Stock	01/28/2014		S ⁽¹⁾		13,000	D	\$ 60

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Employee Stock Option (Right to Buy)	\$ 23.16	01/28/2014		M	2,284	⁽³⁾ 10/31/2019	Common Stock	2,284
Employee Stock Option (Right to Buy)	\$ 27.99	01/28/2014		M	13,602	⁽⁴⁾ 01/24/2018	Common Stock	13,602
Employee Stock Option (Right to Buy)	\$ 32.53	01/28/2014		M	9,114	⁽⁵⁾ 10/24/2018	Common Stock	9,114

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Barber Kevin D 1251 MCKAY DRIVE SAN JOSE, CA 95131	SVP & GM, Handheld Products

Signatures

Kermit Nolan, as attorney-in-fact 01/29/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were sold pursuant to a 10b5-1 Sales Plan dated August 31, 2013.
The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$57.8850 to \$58.2500, inclusive. The reporting person undertakes to provide to Synaptics Incorporated (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (2) 1/36th of the total number of shares subject to the option vested or shall vest and became or shall become exercisable on the last day of each month following the date of grant until fully vested on October 31, 2015.
25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 24, 2011 date of grant, and 1/48th of the total number of shares subject to the option vested or shall vest and became or shall become exercisable on the 24th day of each month thereafter.
- (3) 1/48th of the total number of shares subject to the option vested or shall vest and became or shall become exercisable on the 24th day of each month after the October 24, 2011 date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.