

SANQUINI RICHARD L
Form 4
May 06, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SANQUINI RICHARD L

2. Issuer Name and Ticker or Trading Symbol
SYNAPTICS INC [SYNA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
14087 LOMA RIO DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
05/02/2013

Director 10% Owner
 Officer (give title below) Other (specify below)

SARATOGA, CA 95070

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------------------|---|--|---|
| | | | | Code | V Amount (D) Price | | | |
| Common Stock | 05/02/2013 | | M | | 1,954 A \$ 20.17 | 17,989 | I | By Trust (1) |
| Common Stock | 05/02/2013 | | M | | 4,980 A \$ 20.47 | 22,969 | I | By Trust (1) |
| Common Stock | 05/02/2013 | | M | | 5,859 A \$ 19.63 | 28,828 | I | By Trust (1) |
| Common Stock | 05/02/2013 | | M | | 18,750 A \$ 15.55 | 47,578 | I | By Trust (1) |
| Common Stock | 05/02/2013 | | M | | 18,750 A \$ 24.33 | 66,328 | I | By Trust (1) |
| | 05/02/2013 | | M | | 15,234 A \$ 26.28 | 81,562 | I | |

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| | | | | | | | | |
|--------------|------------|---|--------|---|------------|--------|---|------------------------|
| Common Stock | | | | | | | | By Trust <u>(1)</u> |
| Common Stock | 05/02/2013 | M | 4,500 | A | \$ 27.99 | 86,062 | I | By Trust <u>(1)</u> |
| Common Stock | 05/02/2013 | M | 1,686 | A | \$ 32.53 | 87,748 | I | By Trust <u>(1)</u> |
| Common Stock | 05/02/2013 | M | 3,000 | A | \$ 23.16 | 90,748 | I | By Trust <u>(1)</u> |
| Common Stock | 05/02/2013 | S | 69,639 | D | \$ 40 | 21,109 | I | By Trust <u>(1)</u> |
| Common Stock | 05/02/2013 | S | 5,074 | D | \$ 40.0005 | 16,035 | I | By Trust <u>(1)</u> |
| Common Stock | 05/03/2013 | M | 4,314 | A | \$ 32.53 | 20,349 | I | By Trust <u>(1)</u> |
| Common Stock | 05/03/2013 | S | 100 | D | \$ 40.2 | 20,249 | I | By Trust <u>(1)</u> |
| Common Stock | 05/03/2013 | S | 100 | D | \$ 40.3 | 20,149 | I | By Trust <u>(1)</u> |
| Common Stock | 05/03/2013 | S | 100 | D | \$ 40.45 | 20,049 | I | By Trust <u>(1)</u> |
| Common Stock | 05/03/2013 | S | 100 | D | \$ 40.47 | 19,949 | I | By Trust <u>(1)</u> |
| Common Stock | 05/03/2013 | S | 200 | D | \$ 40.5 | 19,749 | I | By Trust <u>(1)</u> |
| Common Stock | 05/03/2013 | S | 100 | D | \$ 40.52 | 19,649 | I | By Trust <u>(1)</u> |
| Common Stock | 05/03/2013 | S | 100 | D | \$ 40.56 | 19,549 | I | By Trust <u>(1)</u> |
| Common Stock | 05/03/2013 | S | 100 | D | \$ 40.59 | 19,449 | I | By Trust <u>(1)</u> |
| Common Stock | 05/03/2013 | S | 100 | D | \$ 40.75 | 19,349 | I | By Trust <u>(1)</u> |
| Common Stock | 05/03/2013 | S | 100 | D | \$ 40.78 | 19,249 | I | By Trust <u>(1)</u> |
| Common Stock | 05/03/2013 | S | 200 | D | \$ 40.8 | 19,049 | I | By Trust <u>(1)</u> |
| Common Stock | 05/03/2013 | S | 100 | D | \$ 40.81 | 18,949 | I | By Trust <u>(1)</u> |
| Common Stock | 05/03/2013 | S | 100 | D | \$ 40.83 | 18,849 | I | By Trust <u>(1)</u> |
| | 05/03/2013 | S | 100 | D | \$ 40.84 | 18,749 | I | |

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|--------------|------------|--|---|-----|---|----------|--------|------------------------|
| Common Stock | | | | | | | | By Trust <u>(1)</u> |
| Common Stock | 05/03/2013 | | S | 100 | D | \$ 40.85 | 18,649 | I <u>(1)</u> |
| Common Stock | 05/03/2013 | | S | 100 | D | \$ 40.86 | 18,549 | I <u>(1)</u> |
| Common Stock | 05/03/2013 | | S | 100 | D | \$ 40.89 | 18,449 | I <u>(1)</u> |
| Common Stock | 05/03/2013 | | S | 100 | D | \$ 40.9 | 18,349 | I <u>(1)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Share |
| Director Stock Option (Right to Buy) | \$ 20.17 <u>(2)</u> | 05/02/2013 | | M | 1,954 <u>(2)</u> | <u>(3)</u> 01/18/2015 | Common Stock 1,954 <u>(2)</u> |
| Director Stock Option (Right to Buy) | \$ 20.47 <u>(2)</u> | 05/02/2013 | | M | 4,980 <u>(2)</u> | <u>(4)</u> 01/17/2016 | Common Stock 4,980 <u>(2)</u> |
| Director Stock Option (Right to Buy) | \$ 19.63 <u>(2)</u> | 05/02/2013 | | M | 5,859 <u>(2)</u> | <u>(5)</u> 01/23/2017 | Common Stock 5,859 <u>(2)</u> |
| | | 05/02/2013 | | M | | <u>(6)</u> 01/28/2018 | |

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|--|------------------------|------------|---|--|----------------------|-------------|----------------------------|-----------------|----------------------|
| Director Stock Option (Right to Buy) | \$ 15.55 <u>(2)</u> | | | | 18,750 <u>(2)</u> | | | Common Stock | 18,750 <u>(2)</u> |
| Director Stock Option (Right to Buy) | \$ 24.33 | 05/02/2013 | M | | 18,750 | <u>(7)</u> | 01/26/2019 | Common Stock | 18,750 |
| Director Stock Option (Right to Buy) | \$ 26.28 | 05/02/2013 | M | | 15,234 | <u>(8)</u> | 01/25/2020 | Common Stock | 15,234 |
| Director Stock Option (Right to Buy) | \$ 27.99 | 05/02/2013 | M | | 4,500 | <u>(9)</u> | 01/24/2018 ⁽¹⁰⁾ | Common Stock | 4,500 |
| Director Stock Option (Right to Buy) | \$ 32.53 | 05/02/2013 | M | | 1,686 | <u>(11)</u> | 10/24/2018 | Common Stock | 1,686 |
| Director Stock Option (Right to Buy) | \$ 23.16 | 05/02/2013 | M | | 3,000 | <u>(12)</u> | 10/31/2019 | Common Stock | 3,000 |
| Director Stock Option (Right to Buy) | \$ 32.53 | 05/03/2013 | M | | 4,314 | <u>(11)</u> | 10/24/2018 | Common Stock | 4,314 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| SANQUINI RICHARD L 14087 LOMA RIO DRIVE SARATOGA, CA 95070 | X | | | |

Signatures

Kermit Nolan, as
Attorney-in-Fact

05/06/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares are held by the Reporting Person as Trustee of the Sanquini 2002 Living Trust, dated January 22, 2002.
- (2) Adjusted to reflect the 3-for-2 stock split effected as a dividend on August 29, 2008.
- (3) 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 18, 2005 vesting commencement date, and 1/48th of the total number of shares subject to the option vested and became exercisable on the 18th day of each month thereafter.
- (4) 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 17, 2006 grant date, and 1/48th of the total number of shares subject to the option vested and became exercisable on the 17th day of each month thereafter.
- (5) 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 23, 2007 grant date, and 1/48th of the total number of shares subject to the option vested and became exercisable on the 23rd day of each month thereafter.
- (6) 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 28, 2008 vesting commencement date, and 1/48th of the total number of shares subject to the option vested and became exercisable on the 28th day of each month thereafter.
- (7) 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 26, 2009 vesting commencement date, and 1/48th of the total number of shares subject to the option vested and became exercisable on the 26th day of each month thereafter.
- (8) 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 25, 2010 vesting commencement date, and 1/48th of the total number of shares subject to the option vested or shall vest and became or shall become exercisable on the 25th day of each month thereafter.
- (9) 1/9th of the total number of shares subject to the option vested and became exercisable on the 24th day of each month following the date of grant.
- (10) The expiration date was previously incorrectly reported as 1/24/2021.
- (11) 1/12th of the total number of shares subject to the option vested and became exercisable on the 24th day of each month until fully vested on October 24, 2012.
- (12) 1/12th of the total number of shares subject to the option vested or shall vest and became or shall become exercisable on the last day of each month until fully vested on October 31, 2013.

Remarks:

Form 1 of 2.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.