**ROHR JAMES E** Form 4 May 01, 2013

## FORM 4

Check this box

if no longer

Section 16.

Form 4 or

obligations

Form 5

subject to

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **ROHR JAMES E** 

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

PNC FINANCIAL SERVICES

(Check all applicable)

GROUP, INC. [PNC]

3. Date of Earliest Transaction (Month/Day/Year)

04/29/2013

\_X\_\_ Director 10% Owner Officer (give title Other (specify

ONE PNC PLAZA, 249 FIFTH **AVENUE** 

4. If Amendment, Date Original

(Middle)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**PITTSBURGH, PA 15222-2707** 

(City)	(State)	(Zip) Tal	ble I - Non	-Derivative	Secur	rities Acquir	ed, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie orDisposed o (Instr. 3, 4	f (D)	uired (A) or Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
\$5 Par Common Stock	04/29/2013		M	94,190	A	\$ 31.07	327,098	D	
\$5 Par Common Stock	04/29/2013		M	17,507	A	\$ 31.07	344,605	D	
\$5 Par Common Stock	04/29/2013		S	111,697	D	\$ 68.5044 (1)	232,908	D	
\$5 Par							37,738 <u>(2)</u>	I	401(k) Plan

#### Edgar Filing: ROHR JAMES E - Form 4

3,555	I	By 2005 GRAT
58,200	I	By Spouse/Trust
516	I	By Daughter
66,564	I	By Daughter's Irrevocable Trust
251,121	I	By Revocable Trust
	58,200 516 66,564	58,200 I 516 I 66,564 I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D	D)	Date Exercisable	Expiration Date	Title	An or Nu of
Employee Stock Option (Right-to-Buy)	\$ 31.07	04/29/2013		M	94,1	,190	02/12/2010	02/12/2019	\$5 Par Common Stock	94
Employee Stock Option (Right-to-Buy)	\$ 31.07	04/29/2013		M	17,:	,507	01/26/2012	02/12/2019	\$5 Par Common Stock	17

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ROHR JAMES E ONE PNC PLAZA 249 FIFTH AVENUE PITTSBURGH, PA 15222-2707

### **Signatures**

George P. Long, III Attorney-in-Fact for James E. Rohr 05/01/2013

X

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the weighted average price of shares sold in multiple transactions with prices ranging from \$68.50 to \$68.54. The reporting (1) person undertakes to provide upon request by the staff of the Securities and Exchange Commission, full information regarding the number of shares sold at each separate price.
  - The amount of securities beneficially owned represents the number of shares of common stock indirectly held for the account of the reporting person under The PNC Financial Services Group, Inc. ("PNC") Incentive Savings Plan (the "ISP"). Shares of PNC common
- (2) stock are not directly allocated to ISP participants, but instead are held in a unitized fund, approximately 98% of which consists of PNC common stock, and the remainder of which is invested in a money market fund. The percentage of assets in the unitized fund investment option that are deemed to be invested in PNC common stock may vary from time to time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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