Edgar Filing: INTERCONTINENTALEXCHANGE INC - Form 4

INTERCONTINENTALEXCHANGE INC Form 4 November 16, 2006 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Goone David S Issuer Symbol INTERCONTINENTALEXCHANGE (Check all applicable) INC [ICE] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner _X__Officer (give title _Other (specify (Month/Day/Year) below) below) 2100 RIVEREDGE 11/15/2006 Senior VP, Business Development PARKWAY, SUITE 500 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting ATLANTA, GA 30328 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 5. Amount of 1.Title of 3. 4. Securities Acquired 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common \$7.04 19,000 (<u>1</u>) 11/15/2006 M 775 Α D Stock Common 11/15/2006 Μ 2,200 \$8 21,200 (1) D А Stock Common 11/15/2006 $S^{(2)}$ 219 D 20,981 (1) D 93.41 Stock Common S⁽²⁾ D 11/15/2006 329 D 20,652 (1) Stock Common S⁽²⁾ 11/15/2006 165 D 20,487 (1) D Stock

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Common Stock	11/15/2006	S <u>(2)</u>	193	D	\$ 20,294 <u>(1)</u> D
Common Stock	11/15/2006	S <u>(2)</u>	220	D	\$ 20,074 <u>(1)</u> D
Common Stock	11/15/2006	S <u>(2)</u>	329	D	\$ 19,745 <u>(1)</u> D
Common Stock	11/15/2006	S <u>(2)</u>	192	D	\$ 19,553 <u>(1)</u> D
Common Stock	11/15/2006	S <u>(2)</u>	31	D	\$ 19,522 <u>(1)</u> D
Common Stock	11/15/2006	S <u>(2)</u>	165	D	\$ 19,357 (1) D
Common Stock	11/15/2006	S <u>(2)</u>	192	D	\$ 19,165 <u>(1)</u> D
Common Stock	11/15/2006	S <u>(2)</u>	165	D	\$ 19,000 <u>(1)</u> D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactie Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of 4 Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 7.04	11/15/2006		М	775	(3)	03/19/2011	Common Stock	775
Employee Stock Option	\$ 8	11/15/2006		М	2,200	(3)	12/11/2013	Common Stock	2,200

(right to buy)

Reporting Owners

Reporting Owner Name / Address

Director

10% Owner Officer

Relationships

Senior

VP,Business

Development

Other

Goone David S 2100 RIVEREDGE PARKWAY SUITE 500 ATLANTA, GA 30328

Signatures

/s/ Andrew J. Surdykowski, Attorney-In-Fact

**Signature of Reporting Person

Date

11/16/2006

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As previously reported, the reporting person also indirectly beneficially owns 2,000 shares of Common Stock, which were purchased by the reporting person's children on November 21, 2005.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 17, 2006.

(3) These options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.