Edgar Filing: FULTON FINANCIAL CORP - Form 8-K

FULTON FINANCIAL CORP Form 8-K August 09, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of

the Securities Exchange Act of 1934

Date of Report (date of earliest event reported): August 9, 2018

Fulton Financial Corporation

(Exact name of Registrant as specified in its Charter)

Pennsylvania (State or other jurisdiction

0-10587 (Commission 23-2195389 (IRS Employer

of incorporation)

File Number)

Identification Number)

Edgar Filing: FULTON FINANCIAL CORP - Form 8-K

One Penn Square

Lancaster, Pennsylvania 17604
(Address of principal executive offices) (Zip Code)
Registrant s telephone number, including area code: 717-291-2411

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR 230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR 240.12b-2).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 8.01 Other Events.

Fulton Financial Corporation (Fulton) today announced that the Federal Deposit Insurance Corporation and the New Jersey Department of Banking and Insurance have terminated the Consent Orders those agencies issued on February 25, 2015 to the corporation s bank subsidiary, Fulton Bank of New Jersey. These orders were originally issued for deficiencies in the Bank Secrecy Act and Anti-Money Laundering (BSA/AML) compliance program at that bank. Today s announcement follows Fulton s statement, released October 30, 2017, that the Office of the Comptroller of the Currency had lifted similar orders at three of Fulton s other banking subsidiaries.

The press release issued by Fulton containing the announcement is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

Exhibit No. Description

99.1 Press Release dated August 9, 2018

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: August 9, 2018 FULTON FINANCIAL CORPORATION

By: /s/ Daniel R. Stolzer
Daniel R. Stolzer

Senior Executive Vice President,

Chief Legal Officer and Corporate Secretary