DELCATH SYSTEMS, INC. Form DEFA14A March 13, 2018

### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, D.C. 20549** 

### FORM 8-K

# **CURRENT REPORT**

**PURSUANT TO SECTION 13 OR 15(D)** 

OF THE SECURITIES EXCHANGE ACT OF 1934

Date of report: March 13, 2018

DELCATH SYSTEMS, INC.

(Exact name of registrant as specified in its charter)

Delaware (State or Other Jurisdiction **001-16133** (Commission

06-1245881 (IRS Employer

of Incorporation)

File Number)

**Identification Number)** 

1633 Broadway, Suite 22C, New York, New York 10019

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(Address of principal executive offices, including zip code)

(212) 489-2100

(Registrant s telephone number, including area code)

### **NONE**

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

# Item 7.01 Regulation FD Disclosure

Delcath Systems, Inc. (the Company ) is furnishing this Current Report on Form 8-K in connection with the disclosure of information contained in an investor presentation (the Presentation ) to be used by the Company at various meetings, including on March 13, 2018 at 1:30 PM Pacific Time at the 30<sup>th</sup> Annual Roth Conference, being held at the Ritz Carlton Hotel, in Dana Point, California from March 11 14, 2018. This information may be amended or updated at any time and from time to time through another Current Report on Form 8-K or other means. A copy of the Presentation is furnished herewith as Exhibit 99.1 and is incorporated into this Item 7.01 by reference.

The information furnished in this Item 7.01, including Exhibit 99.1, is being furnished and shall not be deemed to be filed for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that section, nor shall it be deemed to be incorporated by reference into any registration statement filed pursuant to the Securities Act of 1933, as amended, except as may be expressly set forth by specific reference in such filing.

The Company expressly disclaims any obligation to update or revise any of the information contained in the Presentation.

The Presentation is available on the Company s investor relations website located at delcath.com/investors, although the Company reserves the right to discontinue that availability at any time.

#### **Item 8.01 Other Information**

See Item 7.01 above.

### **Item 9.01 Financial Statements and Exhibits**

(d) Exhibits

Exhibit 99.1 <u>Investor Presentation dated March, 2018.</u>

# **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

DELCATH SYSTEMS, INC.

Dated: March 13, 2018 By: /s/ Jennifer K. Simpson, Ph.D.

Name: Jennifer K. Simpson, Ph.D.

Title: President and Chief Executive Officer