Edgar Filing: FRONTIER COMMUNICATIONS CORP - Form 8-K

FRONTIER COMMUNICATIONS CORP Form 8-K November 25, 2016

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (date of earliest event reported): November 18, 2016

Frontier Communications Corporation

(Exact name of registrant as specified in its charter)

Delaware

(State or other jurisdiction of incorporation)

001-11001 (Commission File Number) 06-0619596 (IRS Employer Identification No.)

401 Merritt 7, Norwalk, Connecticut

06851

Edgar Filing: FRONTIER COMMUNICATIONS CORP - Form 8-K

(Address of principal executive offices)

(Zip Code)

(203) 614-5600

(Registrant s telephone number, including area code)

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.02. <u>Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers</u>

Kathleen Q. Abernathy has stepped down, effective November 18, 2016, as Frontier's Executive Vice President, External Affairs, has retained her role as Chair, America's Best Communities Prize Campaign and has assumed the position of Senior Advisor. She will hold these positions until her retirement on April 21, 2017. The Board determined that, in view of the change in Ms. Abernathy s responsibilities, she no longer is an executive officer for purposes of Item 401(b) of Regulation S-K, nor an officer for purposes of Rule 16a-1. Ms. Abernathy s responsibilities are being transferred to Mark D. Nielsen, Frontier's Executive Vice President & General Counsel. Between November 18, 2016 and April 21, 2017, Ms. Abernathy will provide counsel to Frontier concerning External Affairs matters and will continue to oversee the America's Best Communities Prize Campaign.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

FRONTIER COMMUNICATIONS CORPORATION

Date: November 25, 2016 By: /s/ Mark D. Nielsen

Mark D. Nielsen

Executive Vice President & General Counsel

der: solid black; border-top-width: 1; border-left-width: 0; border-right-width: 1; border-bottom-width: 1">6. Date

Exercisable and Expiration Date

(Month/Day/Year)7. Title and Amount of Underlying Securities

(Instr. 3 and 4)8. Price of Derivative Security

(Instr. 5)9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)

(Instr. 4)10. Ownership Form of Derivative Security: Direct (D) or Indirect (I)

(Instr. 4)11. Nature of Indirect Beneficial Ownership

(Instr. 4)CodeV(A)(D)Date ExercisableExpiration DateTitleAmount or Number of Shares

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

OBERDORF THOMAS 5711 S. 86TH CIRCLE OMAHA, NE 68127

Chief Financial Officer

Signatures

Thomas 12/29/2008 Oberdorf

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest in four equal annual installments beginning on December 18, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4