

OCEANFIRST FINANCIAL CORP
Form 8-K
December 05, 2013

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934

Date of report (Date of earliest event reported): December 4, 2013

OCEANFIRST FINANCIAL CORP.

(Exact name of registrant as specified in its charter)

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|---|--|---|
| Delaware (State or other jurisdiction of | 001-11713 (Commission | 22-3412577 (IRS Employer |
| incorporation or organization) | File No.) | Identification No.) |
| 975 HOOPER AVENUE, TOMS RIVER, NEW JERSEY 08753 | | |

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(Address of principal executive offices, including zip code)

(732)240-4500

(Registrant's telephone number, including area code)

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 140.13e-4(c))

**ITEM 5.02 DEPARTURE OF DIRECTORS OR CERTAIN OFFICERS; ELECTION OF DIRECTORS;
APPOINTMENT OF CERTAIN OFFICERS; COMPENSATORY ARRANGEMENTS OF
CERTAIN OFFICERS**

On December 4, 2013, the Registrant announced the appointment of Craig C. Spengemen as Executive Vice President and Director of Trust & Asset Management of OceanFirst Bank, its banking subsidiary, effective January 6, 2014. In connection therewith, OceanFirst Bank and Mr. Spengemen entered into a letter agreement pursuant to which OceanFirst Bank agreed to indemnify Mr. Spengemen from certain potential claims against him.

ITEM 8.01 OTHER EVENTS

On December 4, 2013, the Registrant issued a press release announcing the above appointment. A copy of the press release is attached as Exhibit 99.1 hereto.

ITEM 9.01 FINANCIAL STATEMENTS AND EXHIBITS

(d) EXHIBITS

- 10.33 Letter Agreement between Craig C. Spengemen and OceanFirst Bank.
- 99.1 Press release announcing the appointment of Craig Spengemen.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

OCEANFIRST FINANCIAL CORP.

/s/ Michael Fitzpatrick
Michael Fitzpatrick

Executive Vice President and

Chief Financial Officer

Dated: December 5, 2013