

Edgar Filing: CAPITAL ONE FINANCIAL CORP - Form 13F-HR

CAPITAL ONE FINANCIAL CORP
Form 13F-HR
May 11, 2010

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 13F

Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: 31-March-2010

Check here if Amendment ; Amendment Number: _____

This Amendment {Check only one.}: ; is a restatement.
; adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Capital One Financial Corporation
Address: 1680 Capital One Drive
McLean, VA 22102

Form 13F File Number: 028-12320

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person signing this report on behalf of Reporting Manager:

Name: Matthew J. Murphy
Title: Head of Brokerage, Trust, COAM
Phone: 631-577-5801

Signature, Place, and Date of Signing:

_____/s/ Matthew J. Murphy Melville, New York May 5, 2010

(Signature) (City, State) (Date)

Report Type (Check only one):

- 13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)
- 13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager (s).)
- 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager (s).)

Edgar Filing: CAPITAL ONE FINANCIAL CORP - Form 13F-HR

List of Other Managers Reporting for this Manager:
 [If there are no entries in this list, omit this section.]

| | |
|----------------------|-----------------------------------|
| Form 13F File Number | Name |
| 28-931 | Capital One, National Association |
| ----- | ----- |

[Repeat as necessary.]

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 0

Form 13F Information Table Entry Total: 24

Form 13F Information Table Value Total: \$6,394 (thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional managers with respect to which this report is filed, other than the manager filing this report.

NONE

FORM 13F INFORMATION TABLE

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHARES/ PRN AMT | SH/ PRN | PUT/ CALL | INVSTMT DCRETN | OTH MANA |
|------------------------------|-----------------|-----------|--------------------|--------------------|------------|--------------|-------------------|-------------|
| 3M CO | COM | 88579Y101 | 341 | 4075 | SH | | SOLE | |
| AT&T INC | COM | 00206R102 | 162 | 6264 | SH | | SOLE | |
| AUTOMATIC DATA PROCESSING IN | COM | 053015103 | 293 | 6590 | SH | | SOLE | |
| BP PLC | SPONSORED ADR | 055622104 | 254 | 4450 | SH | | SOLE | |
| BRISTOL MYERS SQUIBB CO | COM | 110122108 | 292 | 10945 | SH | | SOLE | |
| CHEVRON CORP NEW | COM | 166764100 | 302 | 3977 | SH | | SOLE | |
| COCA COLA CO | COM | 191216100 | 340 | 6179 | SH | | SOLE | |
| CONOCOPHILLIPS | COM | 20825C104 | 193 | 3763 | SH | | SOLE | |
| DIAGEO P L C | SPON ADR NEW | 25243Q205 | 267 | 3957 | SH | | SOLE | |
| DU PONT E I DE NEMOURS & CO | COM | 263534109 | 200 | 5376 | SH | | SOLE | |
| EMERSON ELEC CO | COM | 291011104 | 346 | 6875 | SH | | SOLE | |
| FPL GROUP INC | COM | 302571104 | 238 | 4930 | SH | | SOLE | |
| ILLINOIS TOOL WKS INC | COM | 452308109 | 253 | 5351 | SH | | SOLE | |
| ISHARES TR INDEX | BARCLY USAGG B | 464287226 | 222 | 2134 | SH | | SOLE | |
| ISHARES TR INDEX | BARCLYS TIPS BD | 464287176 | 194 | 1869 | SH | | SOLE | |
| JOHNSON & JOHNSON | COM | 478160104 | 314 | 4810 | SH | | SOLE | |
| MCDONALDS CORP | COM | 580135101 | 259 | 3876 | SH | | SOLE | |
| MICROSOFT CORP | COM | 594918104 | 251 | 8576 | SH | | SOLE | |
| PRICE T ROWE GROUP INC | COM | 74144T108 | 374 | 6812 | SH | | SOLE | |
| PROCTER & GAMBLE CO | COM | 742718109 | 238 | 3764 | SH | | SOLE | |
| TOTAL S A | SPONSORED ADR | 89151E109 | 227 | 3921 | SH | | SOLE | |
| TRAVELERS COMPANIES INC | COM | 89417E109 | 282 | 5236 | SH | | SOLE | |
| V F CORP | COM | 918204108 | 313 | 3904 | SH | | SOLE | |

Edgar Filing: CAPITAL ONE FINANCIAL CORP - Form 13F-HR

VERIZON COMMUNICATIONS INC

COM

92343V104

239

7697 SH

SOLE