

STEPHENS WARREN A
Form 4
January 31, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STEPHENS WARREN A

(Last) (First) (Middle)
111 CENTER STREET
(Street)
LITTLE ROCK, AR 72201

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CONNIS INC [CONN]

3. Date of Earliest Transaction
(Month/Day/Year)
12/31/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___X___ 10% Owner
___ Officer (give title below) ___ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---------|---|--------------------------------------|
| | | | Code | V | Amount | (D) | Price | | | |
| Common Stock | 12/31/2018 | | G | V | 128,450 | D | \$ 0 | 256,900 | I | By Warren A. Stephens Grantors Trust |
| Common Stock | 12/31/2018 | | G | V | 128,450 | D | \$ 0 | 128,450 | I | By Warren A. Stephens Grantors Trust |
| Common Stock | 12/31/2018 | | G | V | 128,450 | D | \$ 0 | 0 | I | By Warren A. Stephens Grantors Trust |

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| | | | | | | | | | |
|--------------|------------|---|---|---------|---|------|--------------------------|---|---|
| Common Stock | 12/31/2018 | G | V | 128,450 | A | \$ 0 | 128,450 | I | By Laura W. Stephens WAS Grantor Trust |
| Common Stock | | | | | | | 310,346 ⁽¹⁾ | I | By Laura Whitaker Stephens WHCT Trust |
| Common Stock | | | | | | | 342,081 ⁽²⁾ | I | By Harriet C. Stephens Trust |
| Common Stock | | | | | | | 1,292,920 ⁽³⁾ | I | By Stephens Investments Holdings LLC |
| Common Stock | | | | | | | 1,500,000 ⁽³⁾ | I | By WAS Family Trust Three |
| Common Stock | | | | | | | 22,619 | I | By Warren Miles Amerine Stephens 2012 Trust |
| Common Stock | | | | | | | 285,000 | I | By Warren A. Stephens Roth IRA |
| Common Stock | | | | | | | 430,000 | I | By WAS Family Trust One |
| Common Stock | | | | | | | 82,430 | I | By Stephens Inc. |
| Common Stock | | | | | | | 56,633 | I | By Warren Miles Amerine Stephens 1995 Trust |
| Common Stock | | | | | | | 6,352 | I | By Warren Miles Amerine Stephens Trust |
| Common Stock | | | | | | | 56,633 | I | By John Calhoun Stephens |

| | | | |
|--------------|--------|---|--|
| Common Stock | 6,352 | I | 1995 Trust By John Calhoun Stephens Trust |
| Common Stock | 56,633 | I | By Laura Whitaker Stephens 1995 Trust |
| Common Stock | 6,352 | I | By Laura Whitaker Stephens Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| STEPHENS WARREN A 111 CENTER STREET LITTLE ROCK, AR 72201 | | X | | |

Signatures

Todd Ferguson, attorney in fact for reporting
person

01/31/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects receipt by Laura Whitaker Stephens WHCT Trust for no consideration of 310,346 shares previously reported as indirectly owned by Warren and Harriet Stephens Children's Trust.
- (2) Reflects receipt by Harriet C. Stephens Trust for no consideration of 62,250 shares previously reported as indirectly owned by Paula W. and John P. Calhoun Family Trust.
- (3) Reflects receipt by WAS Family Trust Three for no consideration of 1,500,000 shares previously reported as indirectly owned by Stephens Investments Holdings LLC. Reporting person no longer has a reportable beneficial interest in 1,424,910 shares beneficially owned by reporting person's children and included in the reporting person's prior ownership reports.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.