

APOGEE ENTERPRISES, INC.

Form 4

January 03, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BEITHON PATRICIA A**

2. Issuer Name and Ticker or Trading Symbol  
**APOGEE ENTERPRISES, INC.  
[APOG]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
4400 WEST 78TH STREET, SUITE 520  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
01/02/2014

\_\_\_\_ Director  
 Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
Secretary

MINNEAPOLIS, MN 55435

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
				Code	V	Amount				(A) or (D)	Price
Common Stock	01/02/2014		M			7,766	A	\$ 11.86	183,081	D	
Common Stock	01/02/2014		S			7,766	D	\$ 35.0365 (4)	175,315	D	
Common Stock	01/03/2014		M			3,629	A	\$ 11.86	178,944	D	
Common Stock	01/03/2014		M			10,605	A	\$ 11.86	189,549	D	
	01/03/2014		S			14,234	D		175,315 (1)	D	

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Common Stock	\$ 35.0325 <u>(5)</u>			
Common Stock		3,350 <u>(2)</u>	I	401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Employee Option to Buy	\$ 11.86	01/02/2014		M		<u>(3)</u>	04/14/2014	Common Stock	7,766
Employee Option to Buy	\$ 11.86	01/03/2014		M		<u>(3)</u>	04/14/2014	Common Stock	3,629
Employee Option to Buy	\$ 11.86	01/03/2014		M		<u>(3)</u>	04/14/2014	Common Stock	10,605

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BEITHON PATRICIA A 4400 WEST 78TH STREET SUITE 520 MINNEAPOLIS, MN 55435			Secretary	

## Signatures

/s/ Patricia A.  
Beithon

01/03/2014

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares acquired under the ESPP as of 12/31/13 and shares of restricted stock granted under the 2009 Stock Incentive Plan.

Represents the approximate number of shares of common stock for which the Reporting Person has the right to direct the vote under the

(2) Apogee 401(k) Retirement Plan per the Trustee's 12/31/13 statement. Shares of common stock are not directly allocated to the Plan participants, but are instead held in a unitized fund consisting primarily of common stock and a small percentage of short-term investments. Participants acquire units in this fund.

(3) Currently 100% exercisable.

The price reported is the weighted average sale price for the transactions reported. The prices received ranged from \$35.00 to \$35.15. The

(4) Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each price within the range.

The price reported is the weighted average sale price for the transactions reported. The prices received ranged from \$35.00 to \$35.08. The

(5) Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares sold at each price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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