Edgar Filing: Sorrento Therapeutics, Inc. - Form 4

Sorrento TI Form 4	herapeutics, Inc.									
October 31									PPPOVAL	
FOR	M 4 _{UNITED}	STATES	SECU	RITIES A	AND EX	CHANGE	COMMISSION		PPROVAL	
			shington			001111100101	Number:	3235-0287		
if no lo subject	to SIAIEN	MENT OF CHANGES IN BENEFICIAL OWNERSHIP OF						Expires: Estimated	January 31, 2005 average	
Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Section 16. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						urs per				
(Print or Type	e Responses)									
1. Name and Webb Dav	Address of Reporting vid Ritchie	Person *	Symbol	er Name an to Therap		Trading	5. Relationship of Issuer			
(Last)	(First) (Middle)		of Earliest T			(Chec	k all applicabl	le)	
	RENTO THERAP 2 CORNERSTON JITE B	,	(Month/ 10/29/2	Day/Year) 2013			X Director Officer (give below)		% Owner her (specify	
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
SAN DIE	GO, CA 92121						Form filed by M Person	Iore than One R	teporting	
(City)	(State)	(Zip)	Tab	ole I - Non-J	Derivative	Securities A	cquired, Disposed of	f, or Beneficia	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) or of (D)	SecuritiesFBeneficially(1)Owned(1)	5. Ownership Form: Direct D) or Indirect I) Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Re	eport on a separate line	e for each cl	ass of sec	urities bene	ficially ow	ned directly o	or indirectly.			
					inforr requi	nation cont red to respo ays a currer	pond to the collec ained in this form ond unless the form ntly valid OMB con	are not n	SEC 1474 (9-02)	
	Tab					sposed of, or convertible s	Beneficially Owned securities)			
1. Title of Derivative		saction Date /Day/Year)			4. Transact	5. Number iorDerivative			7. Title and Amount of Underlying Securities	8 I

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		(Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 8.4	10/29/2013		А	11,000	<u>(1)</u>	10/29/2023	Common Stock	11,000

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Webb David Ritchie C/O SORRENTO THERAPEUTICS, INC. 6042 CORNERSTONE CT. WEST, SUITE B SAN DIEGO, CA 92121	Х					
Signatures						
/s/ Henry Ji, Ph.D., as Attorney-in-Fact for Dav Webb		10/31/20	13			

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 1/12 of the original number of shares subject to this option shall vest and become exercisable following each one-month period of service starting on September 6, 2013, subject to the reporting person's continued service on the issuer's board of directors on each such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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