**MOSAIC CO** Form 4 July 30, 2013

## FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

obligations

may continue.

See Instruction

Form 5

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Davis Gary N.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(First)

(Middle)

(Zip)

MOSAIC CO [MOS] 3. Date of Earliest Transaction

Director X\_ Officer (give title below)

10% Owner Other (specify

ATRIA CORPORATE CENTER, SUITE E490, 3033 CAMPUS

(Street)

(State)

**DRIVE** 

(City)

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

07/27/2013

6. Individual or Joint/Group Filing(Check

(Check all applicable)

Sr VP - Phosphate Operations

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

#### PLYMOUTH, MN 55441

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1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties		5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	TransactionAcquired (A) or			or	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	de Disposed of (D)		Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership	
		•				Following	(Instr. 4)	(Instr. 4)	
							Reported		
					(A)		Transaction(s)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	07/27/2013		M	5,564	A	\$0	27,076	D	
Common Stock	07/27/2013		F <u>(7)</u>	1,522	D	\$0	25,554	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: MOSAIC CO - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number tom Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 15.45					<u>(1)</u>	08/04/2016	Common Stock	4,718
Stock Option (Right to Buy)	\$ 40.03					<u>(1)</u>	08/02/2017	Common Stock	10,429
Stock Option (Right to Buy)	\$ 127.21					<u>(1)</u>	07/31/2018	Common Stock	2,195
Stock Option (Right to Buy)	\$ 52.72					<u>(1)</u>	07/27/2019	Common Stock	4,507
Stock Option (Right to Buy)	\$ 44.93					<u>(1)</u>	07/27/2020	Common Stock	10,130
Restricted Stock Units	\$ 0 (2)	07/27/2013		M	5,564	07/27/2013	(3)	Common Stock	5,564
Stock Option (Right to Buy)	\$ 70.62					<u>(4)</u>	07/21/2021	Common Stock	6,460
Restricted Stock Units	\$ 0 (2)					07/21/2014	<u>(3)</u>	Common Stock	2,832
Stock Option	\$ 57.62					(5)	07/19/2022	Common Stock	10,198

(Right to Buy)					
Restricted Stock Units	\$ 0 <u>(2)</u>	07/19/2015	(3)	Common Stock	4,050
Stock Option (Right to Buy)	\$ 54.03	<u>(6)</u>	07/18/2023	Common Stock	11,048
Restricted Stock Units	\$ 0 <u>(2)</u>	07/18/2016	(3)	Common Stock	4,319

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
. 0	Director	10% Owner	Officer	Other		
Davis Gary N. ATRIA CORPORATE CENTER, SUITE E490 3033 CAMPUS DRIVE PLYMOUTH, MN 55441			Sr VP - Phosphate Operations			

## **Signatures**

/s/Richard L. Mack, Attorney-in-Fact for Gary N.
Davis 07/30/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Stock Option is 100% exercisable.
- (2) One-for-One
- (3) Not Applicable
- (4) Grant Date 07/21/2011; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (5) Grant Date 07/19/2012; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (6) Grant Date 07/18/2013; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (7) Shares withheld to cover tax liability incurred as a result of vesting of Restricted Stock Units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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