

IPG PHOTONICS CORP
Form 4
June 06, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
CHILD MICHAEL C

(Last) (First) (Middle)

**JOHN HANCOCK TOWER, 200
CLARENDON ST. 56TH FLOOR**

(Street)

BOSTON, MA 02116

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
IPG PHOTONICS CORP [IPGP]

3. Date of Earliest Transaction
(Month/Day/Year)
06/04/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	06/04/2013		A ⁽⁹⁾		1,100	A	\$ 0 10,012
						D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Filing Date of Derivative Security (Instr. 3)	
				Code	V	(A)	(D)		
Director Stock Options (right to buy)	\$ 6.45					<u>(1)</u>	06/20/2016	Common Stock	1,667
Director Stock Options (right to buy)	\$ 20.32					<u>(2)</u>	06/11/2017	Common Stock	3,333
Director Stock Options (right to buy)	\$ 18.41					<u>(3)</u>	06/09/2018	Common Stock	5,000
Director Stock Options (right to buy)	\$ 11.07					<u>(4)</u>	06/08/2019	Common Stock	6,667
Director Stock Options (right to buy)	\$ 15.36					<u>(5)</u>	06/07/2020	Common Stock	6,667
Director Stock Options (right to buy)	\$ 75.15					<u>(6)</u>	05/30/2021	Common Stock	6,667
Director Stock Options (right to buy)	\$ 42.43					<u>(7)</u>	06/04/2022	Common Stock	6,667

Director

Stock

Options (right to buy)	\$ 60	06/04/2013	A	6,500	<u>(8)</u>	06/03/2023	Common Stock	6,500
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CHILD MICHAEL C JOHN HANCOCK TOWER 200 CLARENDON ST. 56TH FLOOR BOSTON, MA 02116	X			

Signatures

Michael C. Child	06/06/2013
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__Signature of Reporting Person

Date

By: Thomas P. Alber, Attorney-in-Fact	06/06/2013
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__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) These options became exercisable in four annual installments beginning on 6/21/2007.
- (2) These options became exercisable in four equal annual installments beginning on 6/12/2008.
- (3) These options became exercisable in four equal annual installments beginning on 6/10/2009.
- (4) These options became exercisable 100% on earlier of 6/09/2010 or date of 2010 annual stockholder meeting of Issuer.
- (5) These options became exercisable 100% on earlier of 6/08/2011 or date of 2011 annual stockholder meeting of Issuer.
- (6) These options became exercisable 100% on earlier of 5/31/2012 or date of 2012 annual stockholder meeting of Issuer.
- (7) These options became exercisable 100% on earlier of 6/05/2013 or date of 2013 annual stockholder meeting of Issuer.
- (8) Grant of stock options vesting 100% on earlier of 6/04/2014 or date of 2014 annual stockholder meeting of Issuer.
- (9) Grant of restricted stock units vesting 100% on earlier of 6/04/2014 or date of 2014 annual stockholder meeting of Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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