Edgar Filing: Anzalone Christopher Richard - Form 4

Anzalone Christopher Rich Form 4 October 02, 2012	ard					
					PPROVAL	
		URITIES AND EXCHANGI Vashington, D.C. 20549	E COMMISSION	OMB Number:	3235-0287	
Check this box if no longer	Check this box					
subject to Section 16. Form 4 or	EMENT OF CH	ANGES IN BENEFICIAL O SECURITIES	WNERSHIP OF	Estimated a burden hou response	irs per	
abligations	7(a) of the Public	n 16(a) of the Securities Excha Utility Holding Company Act Investment Company Act of I	t of 1935 or Section	n		
(Print or Type Responses)						
1. Name and Address of Reportin Anzalone Christopher Rich	ard Symb		5. Relationship of Issuer	Reporting Per	son(s) to	
	ARR [AR]	.OWHEAD RESEARCH COR WR]	(Chec	k all applicable	e)	
(Last) (First)		e of Earliest Transaction	X Director X Officer (give		6 Owner er (specify	
225 SOUTH LAKE AVENUE, SUITE 1050	H LAKE 09/28/2012 below) below) President and CEO					
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting						
PASADENA, CA 91101	(Zip)		Person			
(City) (State)	-	'able I - Non-Derivative Securities A			•	
1.Title of Security (Instr. 3)2. Transaction Da (Month/Day/Year	 te 2A. Deemed Execution Date, i any (Month/Day/Yea) 	Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A)	SecuritiesFBeneficially(IOwned(I	D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
		Or Code V Amount (D) Price	(Instr. 3 and 4)			
Reminder: Report on a separate li	ine for each class of s	securities beneficially owned directly	or indirectly.			
		information con required to resp	spond to the collec tained in this form a ond unless the forr ntly valid OMB con	are not n	SEC 1474 (9-02)	
Т		Securities Acquired, Disposed of, or alls, warrants, options, convertible				

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7.	Title and Amount o
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	iorDerivative	Expiration Date	U	nderlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Yea	r)	(Instr. 3 and 4	4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option	\$ 2.62	09/28/2012		А	50,000	10/01/2012 <u>(1)</u>	09/28/2022	Common Stock	50,000

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Anzalone Christopher Richard 225 SOUTH LAKE AVENUE SUITE 1050 PASADENA, CA 91101	Х		President and CEO		
Signatures					

Christopher R. Anzalone	10/02/2012		
<u>**</u> Signature of Reporting Person	Date		

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option vests in 48 equal monthly installments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.