

Spansion Inc.
Form 4
August 08, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
BARNES KEITH

(Last) (First) (Middle)

915 DEGUIGNE DRIVE, P.O. BOX
3453

(Street)

SUNNYVALE, CA 94088

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Spansion Inc. [CODE]

3. Date of Earliest Transaction
(Month/Day/Year)

08/06/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Class A Common Stock	08/06/2014		M		7,916	A	\$ 12.14	45,892	D
Class A Common Stock	08/06/2014		S		7,916	D	\$ 19	37,976	D
Class A Common Stock	08/06/2014		M		33,750	A	\$ 12.4	71,726	D
Class A Common	08/06/2014		S		33,750	D	\$ 19	37,976	D

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Stock

Class A Common Stock	08/06/2014	M	22,916	A	\$ 14.97	60,892	D
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Class A Common Stock	08/06/2014	S	22,916	D	\$ 19	37,976	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Amount or Number of Shares	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 12.14	08/06/2014		M		7,916		<u>(1)</u>	04/01/2020	Class A Common Stock	7,916
Stock Option (right to buy)	\$ 12.4	08/06/2014		M		33,750		<u>(2)</u>	04/02/2019	Class A Common Stock	33,750
Stock Option (right to buy)	\$ 14.97	08/06/2014		M		22,916		<u>(3)</u>	08/11/2018	Class A Common Stock	22,916

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
BARNES KEITH	X

915 DEGUIGNE DRIVE
P.O. BOX 3453
SUNNYVALE, CA 94088

Signatures

/s/ Katy Motiey, Attorney-in-Fact for: BARNES,
KEITH

08/08/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option will vest quarterly over three (3) years from the April 1, 2013 date of grant.
- (2) The option will vest quarterly over three (3) years from the April 2, 2012 date of grant.
- (3) The option will vest quarterly over three (3) years from the August 11, 2011 date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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