Edgar Filing: MOSAIC CO - Form 4

MOSAIC CO											
Form 4											
October 13, 20	009										
FORM	4 UNITED S	TATES	SECUD	ITIES A		'LI A N		COMMISSION	OMB APPROVAL		
	UNITEDS	IAIES		hington,			NGE (COMMISSION	OMB Number:	3235-0287	
Check this			vv as	inigion,	D.C. 20.				Expires:	January 31,	
if no longe subject to	r STATEM	ENT OF	F CHANGES IN BENEFICIAL OWNERSHIP OF					NERSHIP OF	. 200		
Section 16.			SECURITIES						Estimated average burden hours per		
Form 4 or									response		
Form 5 obligations	, ^							ge Act of 1934,			
may contin	nue. Section 17(a			vestment	•	• •		of 1935 or Sectio	n		
See Instruc 1(b).	ction	30(II) ((csuncint)	company	ACI	0119	40			
1(0).											
(Print or Type Re	esponses)										
1 Nome and Ad	duase of Departing D	ansan *						5 Deletionship et	f Domonting Don	(a) to	
1. Name and Address of Reporting Person <u>*</u> MACKAY HAROLD H			2. Issuer Name and Ticker or Trading Symbol				g	5. Relationship of Reporting Person(s) to Issuer			
			-	C CO [M	081						
(Last)	(First) (M			-	-			(Cheo	ck all applicable	e)	
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)					X Director	109	% Owner	
C/O THE MOSAIC			10/08/2009					Officer (give titleOther (specify			
	3033 CAMPUS							below)	below)		
DRIVE, SUI	TE E490										
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person			
PLYMOUTH	I, MN 55441								More than One R		
(City)	(State) (A	Zip)	Table	e I - Non-D	erivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of	2. Transaction Date	2A. Deen	ned	3.	4. Securi	ties		5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)		n Date, if		nAcquired			Securities	Form: Direct		
(Instr. 3)		any (Month/E	Day/Year)	Code (Instr. 8)	CodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5)				(D) or Indirect (I)	Beneficial Ownership	
		× ·	· · ·	× ,	× ,			Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported Transaction(s)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common				code v	7 Infount	(D)	Thee	14,129	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 12.15					10/22/2004	05/10/2012	Common Stock	4,800
Stock Option (right to buy)	\$ 9.75					10/22/2004	05/16/2013	Common Stock	9,150
Stock Option (right to buy)	\$ 11.59					10/22/2004	05/14/2014	Common Stock	7,800
Restricted Stock Units	\$ 0 <u>(1)</u>					08/02/2010	(2)	Common Stock	1,624
Restricted Stock Units	\$ 0 <u>(1)</u>					(3)	(2)	Common Stock	2,327
Restricted Stock Units	\$ 0 <u>(1)</u>	10/08/2009		А	1,712	<u>(4)</u>	(2)	Common Stock	1,712

Reporting Owners

Reporting Owner Name / Address	Relationships					
hepoting o when theme the transfer	Director	10% Owner	Officer	Other		
MACKAY HAROLD H C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH, MN 55441	Х					

Signatures

/s/ Richard L. Mack, Attorney-in-Fact for Harold H. MacKay

10/13/2009

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One for one
- (2) Not applicable
- (3) The restricted stock units vested on October 9, 2009. Vested shares will be delivered to the reporting person on October 9, 2011.
- (4) The restricted stock units vest on October 8, 2010. Vested shares will be delivered to the reporting person on October 8, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.