

Super Micro Computer, Inc.
Form 3
March 28, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Liaw Yih-Shyan Wally
(Last) (First) (Middle)

1878 CHARNWOOD CT.

(Street)

SAN JOSE, CA 95132

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
03/28/2007

3. Issuer Name **and** Ticker or Trading Symbol
Super Micro Computer, Inc. [SMCI]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☒ Director ☒ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
VP of International Sales & Sec

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☐ Form filed by One Reporting
Person
☒ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

600,000

I

By Self as Trustee for YSL
Grantor Retained Trust

Common Stock

1,760,000

I

By Liaw Family Trust ⁽¹⁾

Common Stock

600,000

I

By SML Grantor Retained Trust
⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Option (right to buy)	Â (3)	12/10/2008	Common Stock	300,000	\$ 0.2	D	Â
Stock Option (right to buy)	Â (4)	06/30/2011	Common Stock	240,000	\$ 1.25	D	Â
Stock Option (right to buy)	Â (5)	03/31/2014	Common Stock	90,000	\$ 2.53	D	Â
Stock Option (right to buy)	Â (6)	12/10/2008	Common Stock	160,000	\$ 0.075	I	By Spouse
Stock Option (right to buy)	Â (7)	Â (7)	Common Stock	40,000	\$ 1.25	I	By Spouse
Stock Option (right to buy)	Â (8)	09/30/2014	Common Stock	8,000	\$ 2.6	I	by Spouse

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Liaw Yih-Shyan Wally 1878 CHARNWOOD CT. SAN JOSE,Â CAÂ 95132	Â X	Â X	Â VP of International Sales &Sec	Â
Liaw Shiow-Meei S 1878 CHARNWOOD CT. SAN JOSE,Â CAÂ 95132	Â	Â X	Â	Â

Signatures

/s/ Felix Miao, by power of attorney 03/27/2007

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) These shares are held in a trust for the benefit of the reporting person's children. The reporting person and his spouse, Shiow-Meei S Liaw, is the trustees of the trust.
- (2) These shares are held in a trust for the benefit of the reporting person's children. The reporting person's spouse, Shiow-Meei S Liaw, is the trustee of the trust.
- (3)

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The vesting commencement date is August 20, 1994. The option is exercisable at the rate of one half of the shares on the second anniversary of the vesting commencement date and 1/4th at the end of each successive year thereafter.

- (4) The vesting commencement date is March 30, 2000. The option is exercisable at the rate of 1/4th of the shares on the first anniversary of the vesting commencement date and 1/16th at the end of each successive calendar quarter thereafter.
- (5) The vesting commencement date is March 30, 2004. The option is exercisable at the rate of 1/4th of the shares on the first anniversary of the vesting commencement date and 1/16th at the end of each successive calendar quarter thereafter.
- (6) The vesting commencement date is July 1, 1996. The option is exercisable at the rate of one half of the shares on the second anniversary of the vesting commencement date and 1/4th at the end of each successive year thereafter.
- (7) The vesting commencement date is July 1, 2000. 19,949 shares subject to option were exercisable on the first anniversary of the vesting commencement date and expire on July 1, 2011. The remaining 51 shares were exercisable on July 1, 2004 and expire on July 1, 2014.
- (8) The vesting commencement date is July 1, 2004. The option is exercisable at the rate of 1/4th of the shares on the first anniversary of the vesting commencement date and 1/16th at the end of each successive calendar quarter thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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