Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 4

TCW STRATEGIC INCOME FUND INC

Form 4

December 07, 2015

Common 12/04/2015

Stock

FORI	M 4								OMB A	APPROVAL	
_	Washington, D.C. 20549								OMB Number:	3235-0287	
if no lo subject Sectior Form 4 Form 5 obligat may co	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Est but ree SECURITIES STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Est but ree SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									January 31, 2005 average urs per 0.5	
(Print or Type	e Responses)										
1. Name and Address of Reporting Person * Rivelle Tad			2. Issuer Name and Ticker or Trading Symbol TCW STRATEGIC INCOME FUND INC [TSI]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) TCW STR FUND, IN STREET,		3. Date of Earliest Transaction (Month/Day/Year) 10/26/2015					Director 10% Owner _X_ Officer (give title Other (specify below) Portfolio Manager				
LOS ANO	4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Ta	ble I - Non	-Derivati	ve Sec	curities Ac	quired, Disposed of	, or Beneficia	ally Owned	
1.Title of Security (Instr. 3)	curity (Month/Day/Year) Execution Date, if			Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	10/26/2015			P	0	A	\$ 0 <u>(1)</u>	107,849	I	Charles Schwab & Co Acct for Chung-Ling K Tuan (spouse)	
Common stock	11/03/2015			P	0	A	\$ 0 (2)	855,404	D		

P

100

A \$5.21 163,625.7079 I

Charles

Schwab &

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								Co Acct for Chung-Ling K Tuan (spouse)
Common Stock	12/04/2015	P	2,000	A	\$ 5.219	165,625.7079	I	Charles Schwab & Co Acct for Chung-Ling K Tuan (spouse)
Common Stock	12/04/2015	P	4,353	A	\$ 5.22	169,978.7079	I	Charles Schwab & Co Acct for Chung-Ling K Tuan (spouse)
Common Stock	12/04/2015	P	8,547	A	\$ 5.2389	178,525.7079	I	Charles Schwab & Co Acct for Chung-Ling K Tuan (spouse)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ctionNumber	Expiration D	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	3) Derivative	e		Securit	ties	(Instr. 5)
	Derivative				Securities	3		(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date Exercisable	Expiration Date		or	
									Number	
									of	
				Code	V (A) (D)				Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

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Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rivelle Tad

TCW STRATEGIC INCOME FUND, INC. 865 S. FIGUEROA STREET, STE. 1800 LOS ANGELES, CA 90017

Portfolio Manager

Signatures

Patrick Dennis for Tad Rivelle by POA dtd 7/22/13

12/07/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) No transaction occurred in Common Stock owned indirectly by Reporting Person
- (2) No transaction occurred in Common Stock owned directly by Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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