

EAST WEST BANCORP INC
 Form 4
 November 07, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2015
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
NG DOMINIC

2. Issuer Name and Ticker or Trading Symbol
EAST WEST BANCORP INC [EWBC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
EAST WEST BANK, 135 N. LOS ROBLES AVE. 7TH FLOOR
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/05/2014

Director 10% Owner
 Officer (give title below) Other (specify below)
Chief Executive Officer

PASADENA, CA 91101

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	11/05/2014		M		21,699	A	\$ 21.09
							486,701
Common Stock	11/05/2014		S		21,699	D	\$ 37.224
							465,002
							(2)
Common Stock	11/06/2014		M		10,000	A	\$ 21.09
							475,002
Common Stock	11/06/2014		S		10,000	D	\$ 37.344
							465,002
							(3)

Edgar Filing: EAST WEST BANCORP INC - Form 4

Common Stock	11/07/2014	M	10,000	A	\$ 21.09	475,002	D
Common Stock	11/07/2014	S	10,000	D	\$ <u>(4)</u>	465,002	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 21.09	11/05/2014		M	21,699	02/19/2010 ⁽¹⁾ 02/19/2015	Common Stock	21,699
Employee Stock Option (Right to Buy)	\$ 21.09	11/06/2014		M	10,000	02/19/2010 ⁽¹⁾ 02/19/2015	Common Stock	10,000
Employee Stock Option (Right to Buy)	\$ 21.09	11/07/2014		M	10,000	02/19/2010 ⁽¹⁾ 02/19/2015	Common Stock	10,000

Reporting Owners

Reporting Owner Name / Address

Relationships

Director	10% Owner	Officer	Other
X		Chief Executive Officer	

NG DOMINIC
EAST WEST BANK
135 N. LOS ROBLES AVE. 7TH FLOOR
PASADENA, CA 91101

Signatures

Douglas P. Krause,
Attorney-in-Fact

11/07/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest in three equal installments beginning in February 19, 2010.
 - (2) A total of 21,699 shares were sold at a weighted average price of \$37.224.
 - (3) A total of 10,000 shares were sold at a weighted average price of \$37.344.
 - (4) A total of 10,000 shares were sold at a weighted average price of \$37.223.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.