Edgar Filing: CITY NATIONAL CORP - Form 4

| | IONAL CORP | | | | | | | | | |
|--|--|---|---|--|--|---|--|---|--|--|
| Form 4 | | | | | | | | | | |
| September (|)2, 2014 | | | | | | | | | |
| FORM | Λ4 | ~ | ~~~~ | | | | | PPROVAL | | |
| | UNITED | STATES | | | AND EXCHANG 1, D.C. 20549 | E COMMISSION | OMB Number: | 3235-0287 | | |
| Check tl if no lon subject t Section Form 4 o Form 5 obligatio may com <i>See</i> Inst | ger o 16. or Filed pur ons stinue. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(k) of the Investment Company Act of 1040 | | | | | | | | |
| 1(b). (Print or Type 1. Name and A GOLDSMI | Address of Reporting | Person <u>*</u> | Symbol | | d Ticker or Trading AL CORP [CYN] | 5. Relationship of Issuer | 1 0 | | | |
| (Lest) | (First) (| | | | | (Check all applicable) | | | | |
| (Last) (First) (Middle) 400 N. ROXBURY DRIVE, 8TH FLOOR | | | 3. Date of Earliest Transaction (Month/Day/Year) 08/29/2014 | | | below) | XOfficer (give titleOther (specify | | | |
| | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | Applicable Line) _X_ Form filed by (| _X_ Form filed by One Reporting Person | | | |
| BEVERLY | HILLS, CA 902 | 10 | | | | Form filed by M Person | Iore than One R | eporting | | |
| (City) | (State) | (Zip) | Tal | ole I - Non- | Derivative Securities | Acquired, Disposed o | f, or Beneficia | Illy Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deem Execution any (Month/Da | Date, if | 3. Transactic Code (Instr. 8) | 4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | Securities H Beneficially (| 5. Ownership Form: Direct D) or Indirect I) | 7. Nature of Indirect Beneficial Ownership | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Following Reported

Transaction(s)

(Instr. 3 and 4)

(Instr. 4)

(Instr. 4)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exercisable and | 7. Title and Amount of | 8. Price of |
|-------------|-------------|---------------------|--------------------|-----------|----------|-------------------------|------------------------|-------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | onNumber | Expiration Date | Underlying Securities | Derivative |
| Security | or Exercise | | any | Code | of | (Month/Day/Year) | (Instr. 3 and 4) | Security |

(A)

or

Code V Amount (D) Price

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| (Instr. 3) | Price of Derivative Security | (Month/Day/Yea | r) (Instr. 8 | | Deriv Secu Acqu (A) c Disp of (D (Instr 4, an | rities uired or osed)) r. 3, | | | | | (Instr. 5) |
|----------------------------------|------------------------------------|----------------|--------------|---|--|--|---------------------|--------------------|-----------------|--|------------|
| | | | Code ' | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Fund Units (EDCP) | (1) | 08/29/2014 | А | | 99 (3) | | (2) | (2) | Common Stock | 99 <u>(3)</u> | \$ 75.88 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|--------------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| GOLDSMITH BRAM 400 N. ROXBURY DRIVE, 8TH FLOOR BEVERLY HILLS, CA 90210 | Х | | Chairman Emeritus of the Board | | | | |
| Signatures | | | | | | | |

| /s/ Bram Goldsmith | 09/02/2014 |
|--|------------|
| <u>**</u> Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These Stock Fund Units were acquired under the Executive Deferred Compensation Plan (EDCP) and convert to stock on a one-for-one basis.
- (2) The Stock Fund Units are generally distributed upon termination, or following retirement on the date or dates specified by the reporting person.
- (3) The actual number of stock fund units awarded was approximately 99.9384 and, therefore, the aggregate number of stock fund units currently beneficially owned by the reporting person is approximately 26,254.7238.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.